1	TITLE
2	Slowly evolving dopaminergic activity modulates the moment-to-moment probability of reward-
3	related self-timed movements.
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16	
17	ABSTRACT
18	Clues from human movement disorders have long suggested that the neurotransmitter dopamine
19	plays a role in motor control, but how the endogenous dopaminergic system influences
20	movement is unknown. Here we examined the relationship between dopaminergic signaling and
21	the timing of reward-related movements in mice. Animals were trained to initiate licking after a

23 initiated after a criterion time. The movement time was variable from trial-to-trial, as expected

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self-timed interval following a start-timing cue; reward was delivered in response to movements

24 from previous studies. Surprisingly, dopaminergic signals ramped-up over seconds between the 25 start-timing cue and the self-timed movement, with variable dynamics that predicted the movement/reward time on single trials. Steeply rising signals preceded early lick-initiation, 26 27 whereas slowly rising signals preceded later initiation. Higher baseline signals also predicted 28 earlier self-timed movements. Optogenetic activation of dopamine neurons during self-timing 29 did not trigger immediate movements, but rather caused systematic early-shifting of movement 30 initiation, whereas inhibition caused late-shifting, as if modulating the probability of movement. Consistent with this view, the dynamics of the endogenous dopaminergic signals quantitatively 31 32 predicted the moment-by-moment probability of movement initiation on single trials. We propose that ramping dopaminergic signals, likely encoding dynamic reward expectation, can 33 34 modulate the decision of when to move.

35

36 INTRODUCTION

What makes us move? Empirically, a few hundred milliseconds before movement, thousands of neurons in the motor system suddenly become active in concert, and this neural activity is relayed via spinal and brainstem neurons to recruit muscle fibers that power movement (*Shenoy et al., 2013*). Yet just before this period of intense neuronal activity, the motor system is largely quiescent. How does the brain suddenly and profoundly rouse motor neurons into the coordinated action needed to trigger movement?

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In the case of movements made in reaction to external stimuli, activity evoked first in sensory brain areas is presumably passed along to appropriate motor centers to trigger this coordinated neural activity, thereby leading to movement. But humans and animals can also self-initiate 47 movement without overt, external input (*Deecke, 1996; Hallett, 2007; Lee and Assad, 2003;* 48 *Romo et al., 1992*). For example, while reading this page, you may decide without prompting to 49 reach for your coffee. In that case, the movement cannot be clearly related to an abrupt, 50 conspicuous sensory cue. What "went off" in your brain that made you reach for your coffee at 51 this *particular* moment, as opposed to a moment earlier or later?

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Human movement disorders may provide clues to this mystery. Patients and animal models of 53 Parkinson's Disease experience difficulty self-initiating movements, exemplified by 54 55 perseveration (Hughes et al., 2013), trouble initiating steps when walking (Bloxham et al., 56 1984), and problems timing movements (Malapani et al., 1998; Meck, 1986, 2006; Mikhael and Gershman, 2019). In contrast to these self-generated actions, externally cued reactions are often 57 58 less severely affected in Parkinson's, a phenomenon sometimes referred to as "paradoxical 59 kinesia" (Barthel et al., 2018; Bloxham et al., 1984). For example, patients' gait can be normalized by walking aids that prompt steps in reaction to visual cues displayed on the ground 60 61 (Barthel et al., 2018).

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Because the underlying neuropathophysiology of Parkinson's includes the loss of midbrain dopaminergic neurons (DANs), the symptomatology of Parkinson's suggests DAN activity plays an important role in deciding when to self-initiate movement. Indeed, pharmacological manipulations of the neurotransmitter dopamine causally and bidirectionally influence movement timing (*Dews and Morse, 1958; Lustig and Meck, 2005; Meck, 1986; Mikhael and Gershman, 2019; Schuster and Zimmerman, 1961*). This can be demonstrated in the context of self-timed movement tasks, in which subjects reproduce a target-timing interval by making a 70 movement following a self-timed delay that is referenced to a start-timing cue (Malapani et al., 71 1998). Species across the animal kingdom, from rodents and birds to primates, can learn these 72 tasks and produce self-timed movements that occur, on average, at about the target time, 73 although the exact timing exhibits considerable variability from trial-to-trial (Gallistel and Gibbon, 2000; Meck, 2006; Mello et al., 2015; Merchant et al., 2013; Rakitin et al., 1998; 74 75 Remington et al., 2018; Schuster and Zimmerman, 1961; Sohn et al., 2019; Wang et al., 2018). 76 In such self-timed movement tasks, decreased dopamine availability/efficacy (e.g., Parkinson's, neuroleptic drugs) generally produces late-shifted movements (Malapani et al., 1998; Meck, 77 78 1986, 2006; Merchant et al., 2013), whereas high dopamine conditions (e.g., amphetamines) produce early-shifting (Dews and Morse, 1958; Schuster and Zimmerman, 1961). 79

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81 Although exogenous dopamine manipulations can influence timing behavior, it remains unclear whether endogenous DAN activity is involved in determining when to move. DANs densely 82 83 innervate the striatum, where they modulate the activity of spiny projection neurons of the direct and indirect pathways, which are thought to exert a push-pull influence on movement centers 84 (Albin et al., 1989; DeLong, 1990; Freeze et al., 2013; Grillner and Robertson, 2016). Most 85 studies on endogenous DAN activity have focused on reward-related signals, but there are also 86 87 reports of movement-related DAN signals. For example, phasic bursts of dopaminergic activity 88 have been observed just prior to movement onset (within ~500 ms; Coddington and Dudman, 89 2018, 2019; da Silva et al., 2018; Dodson et al., 2016; Howe and Dombeck, 2016; Wang and 90 *Tsien*, 2011), and dopaminergic signals have been reported to reflect more general encoding of movement kinematics (Barter et al., 2015; Engelhard et al., 2019; Parker et al., 2016). 91 92 However, optogenetic activation of dopamine neurons-within physiological range-does not

elicit immediate movements (*Coddington and Dudman, 2018, 2019*). We hypothesized that
rather than overtly triggering movements, the ongoing activity of nigrostriatal DANs could
influence movement initiation over longer timescales by controlling or modulating the momentby-moment decision of *when* to execute a planned movement.

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98 To test this hypothesis, we trained mice to make a movement (lick) after a self-timed interval 99 following a start-timing cue. The mice learned the timed interval, but, as observed in other 100 species, the exact timing of movement was highly variable from trial-to-trial, spanning seconds. 101 We exploited this inherent variability by examining how moment-to-moment nigrostriatal DAN 102 signals differed when animals decided to move relatively early versus late. We found that 103 dopaminergic signals "ramped up" during the timing interval, with variable dynamics that were 104 highly predictive of trial-by-trial movement timing, even seconds before the movement occurred. 105 Because reward was delivered at the time of movement, the ramping dopaminergic signals likely 106 related to the animal's expectation of when reward would be available in response to movement. 107 Furthermore, optogenetic DAN manipulation during the timing interval produced bidirectional 108 changes in the probability of movement timing, with activation causing a bias toward earlier self-109 timed movements and suppression causing a bias toward later self-timed movements. These 110 combined observations suggest a novel role for the dopaminergic system in the timing of 111 movement initiation, wherein slowly evolving dopaminergic signals, likely driven by reward 112 expectation, can modulate the moment-to-moment probability of whether a reward-related 113 movement will occur.

114

115 **RESULTS**

116 We trained head-fixed mice to make self-timed movements to receive juice rewards (Figure 1A). 117 Animals received an audio/visual start-timing cue and then had to decide when to first-lick in the 118 absence of further cues. Animals only received juice if they waited a proscribed interval 119 following the cue before making their first-lick (>3.3 s in most experiments). As expected from 120 previous studies, the distribution of first-lick timing was broadly distributed over several seconds, 121 and exhibited the canonical scalar property of timing, as described by Weber's Law (Figure 1B 122 and Figure 1-figure supplement 1A-B; Gallistel and Gibbon, 2000). We note this variability 123 in timing was not imposed on the animal by training it to reproduce a variety of target intervals 124 (e.g., 2 vs. 5 s), but is rather a natural consequence of timing behavior, even for a single target 125 interval.

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Our main objective was to exploit the inherent variability in self-timed behavior to examine how 127 128 differences in neural activity might relate to variability in movement timing. Nonetheless, the 129 trained animals well-understood the timing contingencies of the task. In self-timed movement 130 tasks in which a *single* movement is used to assess timing, the distributions of movement times 131 (in both rodents and monkeys) tend to anticipate the target interval, even at the expense of 132 reward on many trials (Eckard and Kyonka, 2018; Kirshenbaum et al., 2008; Lee and Assad, 2003). In these paradigms, however, once a movement occurs, it removes future opportunities to 133 134 move, which creates premature "bias" in the raw timing distributions (Anger, 1956). To correct 135 this bias, movement times must be normalized by the (ever-diminishing) number of opportunities to move at each timepoint (Jaldow et al., 1990). This yields the hazard function (the conditional 136 probability of movement given that movement has not already occurred, as a function of time), 137

which is equivalent to the instantaneous probability of movement. For example, on the first day 138 139 of training, our animals displayed fairly flat hazard functions, indicating a uniform instantaneous probability of movement over time-i.e., the animals did not yet understand the timing 140 141 contingency (Figure 1C-D). However, after training, the hazard function for our animals peaked 142 near the target time (either 3.3 or 5 s), suggesting an accurate latent timing process reflected in the instantaneous movement probability (Figure 1E). Mice trained on a variant of the self-timed 143 144 movement task without lamp-off/on events showed no systematic differences in their timing 145 distributions (Figure 1—figure supplement 1C), suggesting that the mice referenced their timing 146 to the start-timing cue rather than the lamp-off event.

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When mice were fully trained, we employed fiber photometry to record the activity of genetically-defined DANs expressing the calcium-sensitive fluorophore GCaMP6f (12 mice, substantia nigra pars compacta (SNc); *Figure 1—figure supplement 2*). We controlled for mechanical/optical artifacts by simultaneously recording fluorescence modulation of a coexpressed, calcium-insensitive fluorophore, tdTomato. We also recorded bodily movements with neck-muscle EMG, high-speed video, and a back-mounted accelerometer.

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155 DAN signals ramp up slowly between the start-timing cue and self-timed movement

DAN GCaMP6f fluorescence typically exhibited brief transients following cue onset and immediately before first-lick onset (*Figure 2A*), as observed in previous studies (*Coddington and Dudman, 2018; da Silva et al., 2018; Dodson et al., 2016; Howe and Dombeck, 2016; Schultz et al., 1997*). However, during the timed interval, we observed slow "ramping up" of fluorescence over seconds, with a minimum after the cue-aligned transient and maximum just before the lick-related transient. The relatively fast intrinsic decay kinetics of GCaMP6f ($t_{1/2}$ <100 ms at 37°; *Helassa et al., 2016*) should not produce appreciable signal integration over the seconds-long timescales of the ramps we observed.

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165 We asked whether this ramping differed between trials in which the animal moved relatively 166 early or late. Strikingly, when we averaged signals pooled by movement time, we observed 167 systematic differences in the steepness of ramping that were highly predictive of movement timing (Figure 2B-C). Trials with early first-licks exhibited steep ramping, whereas trials with 168 169 later first-licks started from lower fluorescence levels and rose more slowly toward the time of 170 movement. The fluorescence ramps terminated at nearly the same amplitude, regardless of the 171 movement time. Ramping dynamics were not evident in control tdTomato signals (Figure 2C), 172 indicating that the ramping in the GCaMP6f signals was not an optical artifact. The quantitative 173 relationship between GCaMP6f dynamics and movement time will be addressed in a subsequent 174 section of this paper.

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176 Higher pre-cue DAN signals are correlated with earlier self-timed movements

In addition to ramping dynamics, average DAN GCaMP6f signals were correlated with first-lick timing even before cue-onset, with higher baseline fluorescence predicting earlier first-licks (*Figure 2B-C*). This correlation began before the lamp-off event (the 2 s "Baseline" period before lamp-off; Pearson's r = -0.63 (95% CI=[-0.92, -0.14]), n=12 mice) and grew stronger during the "Lamp-Off Interval" between lamp-off and the cue (Pearson's r = -0.89 (95% CI=[--0.98, -0.68]), n=12 mice; *Figure 2—figure supplement 1A-B*). This correlation was independent of the duration of the lamp-off interval (*Figure 2—figure supplement 1C*). Because dF/F correction methods can potentially distort baseline measurements, we rigorously tested and validated three different dF/F methods, and we also repeated analyses with raw fluorescence values compared between pairs of sequential trials with different movement times (*Figure 2 figure supplement 2*; see *Methods*). All reported results, including the systematic baseline differences, were robust to dF/F correction.

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190 In principle, the amplitude of the baseline signal on a given trial n could be related to the 191 animal's behavior during the baseline interval or the outcome of the previous trial. To test this, 192 we performed four-way ANOVA to compare the main effects of the following factors on the pre-193 cue signal (averaged for each trial between lamp-off and the start-timing cue, the "lamp-off 194 interval" (LOI), n=12 mice): 1) presence or absence of spontaneous licking during the LOI; 2) 195 outcome of the previous trial (rewarded or unrewarded); 3) upcoming movement time on trial n196 (categorized as <3.3 s or >3.3 s to provide a simple binary proxy for movement time); and 4) 197 session number (to account for signal variability across animals and daily sessions). Although the 198 effects of LOI-licking and previous trial outcome were statistically significant (F(1,18282)=10.7, p=0.008, η_p^2 =5.9·10⁻⁴ and F(1,18282)=281.2, p=7.5·10⁻⁴⁷, η_p^2 =0.015, respectively), the 199 200 upcoming movement time had an independent, statistically significant effect (F(1,18282)=63.4, p=5.9·10⁻⁶, η_p^2 =0.0035). This raises the possibility of an additional source of variance in baseline 201 202 dopaminergic activity that is independent from previous trial events, but potentially influences 203 the upcoming movement time on that trial.

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205 Ramping dynamics in other dopaminergic areas and striatal dopamine release

We found similar ramping dynamics in SNc DAN axon terminals in the dorsolateral striatum (DLS; *Figure 2—figure supplement 3A-B*) at a location involved in goal-directed licking behavior (*Sippy et al., 2015*). Ramping was also present in GCaMP6f-expressing DAN cell bodies in the ventral tegmental area (VTA, *Figure 2—figure supplement 3C*), reminiscent of mesolimbic ramping signals described in goal-oriented navigation tasks (*Howe et al., 2013; Kim et al., 2019*).

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213 To determine if these movement timing-related signals are available to downstream targets that 214 may be involved in movement initiation, we monitored dopamine release in the DLS with two complementary florescent dopamine sensors (dLight1.1 and DA2m) expressed broadly in striatal 215 216 cells (Figure 3 and Figure 2-figure supplement 3D-E). The decay kinetics of the two 217 extracellular dopamine sensors differ somewhat (Patriarchi et al., 2018; Sun et al., 2020), which 218 we confirmed (dLight1.1 t_{1/2}~75 ms, DA_{2m} t_{1/2}~125 ms; Figure 3-figure supplement 1), yet 219 both revealed similar timing-related ramping dynamics on average (Figure 3 inset). These 220 combined data argue that the seconds-long dopaminergic ramping signals were not artifacts of 221 sluggish temporal responses of the various fluorescent sensors and were ultimately expressed as 222 ramp-like increases in dopamine release in the striatum.

223

224 First-lick timing-predictive DAN signals are not explained by ongoing body movements

The systematic ramping dynamics and baseline differences were not observed in the tdTomato optical control channel nor in any of the other movement-control channels, at least on average (*Figure 4*), making it unlikely that ramping dynamics resulted from optical artifacts. Nevertheless, because DANs show transient responses to salient cues and movements (Coddington and Dudman, 2018; da Silva et al., 2018; Dodson et al., 2016; Howe and
Dombeck, 2016; Schultz et al., 1997), it is possible that fluorescence signals could reflect the
superposition of dopaminergic responses to multiple task events, including the cue, lick, ongoing
spurious body movements, and hidden cognitive processes like timing. For example, accelerating
spurious movements could, in principle, produce motor-related neural activity that "ramps up"
during the timed interval, perhaps even at different rates on different trials.

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236 We thus derived a nested generalized linear encoding model of single-trial GCaMP6f signals 237 (Engelhard et al., 2019; Park et al., 2014; Runyan et al., 2017), a data-driven, statistical 238 approach designed to isolate and quantify the contributions of task events (timing-independent 239 predictors) from processes predictive of movement timing (timing-dependent predictors; Figure 240 5A-B and Figure 5-figure supplement 1A-D). The model robustly detected task-event 241 GCaMP6f kernels locked to cue, lick and EMG/accelerometer events, but these timing-242 independent predictors alone were insufficient to capture the rich variability of GCaMP6f signals 243 for trials with different first-lick times, especially the timing-dependent ramp-slope and baseline 244 offset (n=12 mice, Figure 5C and Figure 5—figure supplement 1E-G). In contrast, two timing-245 dependent predictors robustly improved the model: 1) a baseline offset with amplitude linearly 246 proportional to first-lick time; and 2) a "stretch" feature representing percentages of the timed 247 interval (Figure 5B-C and Figure 5—figure supplement 1E). The baseline offset term fit a 248 baseline level inversely proportional to movement time, and the temporal stretch feature 249 predicted a ramping dynamic from the time of the cue up to the first-lick, whose slope was 250 inversely proportional to first-lick time. Similar results were obtained for SNc DAN axon terminals in the DLS, VTA DAN cell bodies, and extracellular striatal dopamine release (*Figure 5—figure supplement 1H*).

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We note that the stretch feature of this GLM makes no assumptions about the underlying shape of the dopaminergic signal; it only encodes percentages of timing intervals to allow for temporal "expansion" or "contraction" to fit whatever shape(s) were present in the data. In particular, the stretch feature cannot produce ramping unless ramping is present in the signal *and* temporally scales with the length of the interval. Because this feature empirically found a ramp (although not constrained to do so), the stretch aspect indicated that the underlying ramping process took place at different rates for trials with different movement times, at least on average.

261

262 In contrast to the GCaMP6f model, when the same GLM was applied to the tdTomato control 263 signal, the timing-independent predictors (which could potentially cause optical/mechanical artifacts-cue onset, first-lick, EMG/accelerometer) improved the model, but timing-dependent 264 265 predictors did not (Figure 5C and Figure 5—figure supplement 1F-H). In addition, separate principal component (PC) analysis revealed ramp-like and baseline-offset-like components that 266 explained as much as 93% of the variance in DAN signals during the timing interval 267 268 (mean: 66%, range: 16-93%), but similar PCs were not present when tdTomato control signals 269 were analyzed with PCA (mean variance explained: 4%, range: 1.6-15%, Figure 5-figure 270 supplement 2).

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272 Single-trial DAN ramping and baseline signals predict movement timing

273 Given that ramping and baseline-offset signals were not explained by nuisance movements or

274 optical artifacts, we asked whether DAN GCaMP6f fluorescence could predict first-lick timing 275 on single trials. Using a simple threshold-crossing decoding model (Maimon and Assad, 2006), 276 we found that single-trial GCaMP6f signals were predictive of first-lick time even for low 277 thresholds intersecting the "base" of the ramp, with the predictive value of the model progressively improving for higher thresholds (n=12 mice: mean R² low=0.54, mid=0.71, 278 279 high=0.82 (95% CI: low=[0.44,0.64], mid=[0.68,0.75], high=[0.76,0.87]); analysis for one 280 mouse shown in Figure 6A). We will return to this observation in more detail in the upcoming 281 section on single-trial dynamics.

282

283 To more thoroughly determine the independent, additional predictive power of DAN baseline 284 and ramping signals over other task variables (e.g., previous trial first-lick time and reward 285 outcome, etc.), we derived a nested decoding model for first-lick time (Figure 6A). In this model, 286 the pre-cue "baseline" was divided into two components: the pre-lamp-off intertrial interval 287 signal ("ITI") and the lamp-off to cue interval signal ("LOI"). All predictors contributed to the 288 predictive power of the model. However, even when we accounted for the contributions of prior 289 trial history, tdTomato artifacts and baseline GCaMP6f signals, GCaMP6f threshold-crossing 290 time robustly dominated the model and absorbed much of the variance explained by baseline 291 dopaminergic signals, alone explaining 10% of the variance in first-lick time on average (range: 292 1-27%, Figure 6B-D). Alternate formulations of the decoding model produced similar results (Figure 6—figure supplement 1). 293

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295 Characterizing single-trial dopaminergic dynamics

Although the threshold-crossing analysis made no assumptions about the underlying dynamics of

297 the GCaMP6f signals on single-trials, in principle, ramping dynamics in *averaged* neural signals 298 could be produced from individual trials with a single, discrete "step" occurring at different 299 times on different trials. Ramping has long been observed in averaged neural signals recorded 300 during perceptual decision tasks in monkeys, and there has been considerable debate over 301 whether single-trial responses in these experiments are better classified as "ramps" or a single 302 "step" (Latimer et al., 2015, 2016; Shadlen et al., 2016; Zoltowski et al., 2019; Zylberberg and 303 Shadlen, 2016). It has even been suggested that different sampling distributions can produce 304 opposite model classifications in ground-truth synthetic datasets (Chandrasekaran et al., 2018). 305

We attempted to classify single-trial dynamics as a discrete stepping or ramping process with hierarchical Bayesian models implemented in probabilistic programs (*Figure 6—figure supplement 2A-B*). However, like the perceptual decision-making studies, we also found ambiguous results, with about half of single-trials best classified by a linear ramp and half best classified by a discrete step dynamic (*Figure 6—figure supplement 2C*). Nonetheless, three separate lines of evidence suggest that single trials are better characterized by slowly evolving ramps:

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First, the relationship of threshold-crossing time to first-lick time is different for the step *vs.* ramp models when different threshold levels are sampled (*Maimon and Assad, 2006*), as schematized in *Figure 6—figure supplement 3A*: Increasing slope of this relationship is consistent with ramps on single trials, but not with a discrete step, which would be expected to have the same threshold-crossing time regardless of threshold level (*Figure 6—figure supplement 3B*). We found that the slope of this relationship increased markedly as the threshold level was increased, consistent with the ramp model (n=12 mice: mean slope low=0.46, mid=0.7,
high=0.82 (95% CI: low=[0.37,0.54], mid=[0.66,0.73], high=[0.74,0.88]), *Figure 6—figure supplement 3C*).

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324 Second, if single trials involve a step change occurring at different times from trial-to-trial, then 325 aligning trials on that step should produce a clear step on average (rather than a ramp; Latimer et 326 al., 2015). We thus aligned single-trial GCaMP6f signals according to that optimal step position 327 determined from a Bayesian step model fit for each trial and then averaged the step-aligned 328 signals across trials. The averaged signals did not resemble a step function, but rather yielded a 329 sharp transient superimposed on a "background" ramping signal (Figure 6-figure supplement 330 4A). Step-aligned tdTomato and EMG averages showed a small inflection at the time of the step, 331 but neither signal showed background ramping. This suggests that the detected "steps" in the 332 GCaMP6f signals were likely transient movement artifacts superimposed on the slower ramping 333 dynamic rather than bona fide steps.

334

Third, the ideal step model holds that the step occurs at different times from trial-to-trial, 335 producing a ramping signal when trials are averaged together. In this view, the trial-by-trial 336 337 variance of the signal should be maximal at the time at which 50% of the steps have occurred 338 among all trials, and the signal should be minimal at the beginning and end of the interval (when 339 no steps or all steps have occurred, respectively). We thus derived the optimal step time for each 340 trial using the Bayesian step model, and then calculated variance as a function of time within pools of trials with similar movement times. The signal variance showed a monotonic downward 341 342 trend during the timed interval, with a minimum variance at the time of movement rather than at the point at which 50% of steps had occurred among trials, inconsistent with the discrete step
model (*Figure 6—figure supplement 4B*).

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Taken together, we did not find evidence for a discrete step dynamic on single trials; on the contrary, our observations concord with slow ramping dynamics on single trials. Regardless, our GLM movement-time decoding approaches in *Figure 6* did not make any assumptions about underlying single-trial dynamics.

350

351 Moment-to-moment DAN activity causally controls movement timing

352 Because dopaminergic ramping signals robustly predicted first-lick timing and were apparently 353 transmitted via dopamine release to downstream striatal neurons, ramping DAN activity may causally determine movement timing. However, because the animals could expect reward within 354 355 a few hundred milliseconds of the first-lick, it is also possible that the dopaminergic ramps could 356 instead serve as a "passive" monitor of reward expectation without influencing movement 357 initiation. To distinguish these possibilities, we optogenetically activated or inhibited DANs (in 358 separate experiments) on 30% of randomly-interleaved trials (Figure 7A and Figure 7-figure supplement 1). For activation experiments, we chose light levels that elevated DAN activity 359 360 within the physiological range observed in our self-timed movement task, as assayed by 361 simultaneous photometry in the DLS with a fluorescent sensor of released dopamine (dLight1.1, Figure 7—figure supplement 2). DAN activation significantly early-shifted the distribution of 362 self-timed movements on stimulated trials compared to unstimulated trials (12 mice; 2-sample 363 Kolmogorov-Smirnov (KS) Test, D=0.078 (95% CI: [0.067,0.093]), p=2.8·10⁻²⁶), whereas 364 365 inhibition produced significant late-shifting compared to unstimulated trials (4 mice; 2-sample

KS Test, D=0.051 (95% CI: [0.034,0.077]), p=3.1·10⁻⁴; Figure 7B and Figure 7-figure 366 supplement 3A). Stimulation of mice expressing no opsin produced no consistent effect on 367 368 timing (5 mice; 2-sample KS Test, D=0.017 (95% CI: [0.015,0.040]), p=0.62). The direction of 369 these effects was consistent across all animals tested in each category (Figure 7B). 370 Complementary analysis methods revealed consistent effects (bootstrapped difference in median 371 first-lick times between categories: $\Delta(activation - no-opsin) = -0.22 \text{ s} (95\% \text{ CI}=[-0.32 \text{ s}, -0.12])$ 372 s]), Δ (inhibition – no-opsin) = +0.19 s (95% CI=[+0.09 s,+0.30 s]), *Figure 7C-D*; bootstrapped 373 comparison of difference in area under the cdf curves: $\Delta(activation - no-opsin) = -0.31 dAUC$ $(95\% \text{ CI}=[-0.47 \text{ dAUC}, -0.15 \text{ dAUC}]), \Delta(\text{inhibition} - \text{no-opsin}) = +0.23 \text{ dAUC}$ (95%) 374 CI=[+0.08 dAUC,+0.37 dAUC]), Figure 7—figure supplement 3B; bootstrapped difference in 375 376 mean first-lick times between categories: $\Delta(activation - no-opsin) = -0.34 \text{ s}$ (95%) 377 $CI=[-0.49 \text{ s}, -0.19 \text{ s}]), \Delta(\text{inhibition} - \text{no-opsin}) = +0.24 \text{ s} (95\% \text{ CI}=[+0.09 \text{ s}, +0.39 \text{ s}]), Figure 7$ figure supplement 3C). Similar effects were obtained with activation of SNc DAN axon 378 379 terminals in the DLS (2 mice, Figure 7-figure supplement 3A-B). Because these exogenous 380 manipulations of DAN activity modulated movement timing on the same trial as the 381 stimulation/inhibition, this suggests that the endogenous dopaminergic ramping we observed during the self-timed movement task likewise affected movement initiation in real time, rather 382 383 than serving solely as a passive monitor of reward expectation.

384

Recent studies have shown that physiological ranges of optogenetic DAN activation (as assayed by simultaneous recordings from DANs) fail to elicit overt movements (*Coddington and Dudman, 2018*). We likewise found that optogenetic DAN activation did not elicit immediate licking outside the context of the task (*Figure 7—figure supplement 4A*). Additionally,

389 optogenetic DAN inhibition did not reduce the rate of spontaneous licking outside the context of 390 the task (Figure 7—figure supplement 4B). In both cases, we used the same light levels that had 391 elicited the robust shifts in timing behavior during the self-timed movement task. In other control 392 experiments, we purposefully drove neurons into non-physiological activity regimes during the task by applying higher activation light levels. Over-stimulation caused large, immediate, 393 sustained increases in DLS dopamine (Figure 7-figure supplement 2), comparable in 394 395 amplitude to the typical reward-related dopamine transients on interleaved, unstimulated trials. 396 These non-physiological manipulations resulted in rapid, nonpurposive body movements and 397 disrupted performance of the task. Together, these results suggest that the optogenetic effects on timing in Figure 7 did not result from direct, immediate triggering or suppression of movement, 398 399 nor from non-physiological dopamine release due to over-stimulation.

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401 Linking endogenous DAN signals to the moment-to-moment probability of movement 402 initiation

403 Optogenetic manipulations of DAN activity in the physiological range appeared to modulate the 404 probability of initiating the pre-potent, self-timed movement. Given that endogenous DAN 405 signals increased during the timing interval of the self-timed movement task, we reasoned that 406 the probability of movement should likewise increase over the course of the timed interval. We 407 thus derived a nested probabilistic movement-state decoding model to explore the link between 408 DAN signals and movement propensity (Figure 8A). We applied a GLM based on logistic 409 regression, in which we classified each moment of time as either a non-movement (0) or 410 movement (1) state (*Figure 8A-B*), and we examined how well various parameters could predict 411 the probability of transitioning from the non-movement state to the movement state. Unlike the

412 decoding model in Figure 6, which considers a single threshold-crossing time, the probabilistic 413 approach takes into account continuous DAN signals. Initial model selection included previous 414 trial history (movement time and reward outcome history) in addition to the DAN GCaMP6f 415 signal, but Bayesian Information Criterion (BIC) analysis indicated that the instantaneous 416 GCaMP6f signal alone was a robustly significant predictor of movement state, whereas previous 417 trial outcomes were insignificant contributors and did not further improve the model (Figure 8figure supplement 1). We thus only considered the DAN GCaMP6f signal as a predictor in 418 419 subsequent analyses.

420

421 The continuous DAN GCaMP6f signal was indeed predictive of current movement state at any 422 time t, and it served as a significant predictor of movement state, up to at least 2 seconds in the 423 past (Figure 8C). However, the signals became progressively more predictive of the current 424 movement state as time approached t. That is, the dopaminergic signal levels closer to time t 425 tended to absorb the behavioral variance explained by more distant, previous signal levels 426 (Figure 8C), reminiscent of how threshold crossing time absorbed the variance explained by the 427 baseline dopaminergic signal in the movement-timing decoding model (Figure 6B-C). This 428 observation is consistent with a diffusion-like ramping process on single trials, in which the most 429 recent measurement gives the best estimate of whether there will be a transition to the movement 430 state (but is difficult to reconcile with a discrete step process on single trials, consistent with the 431 results in Figure 6—figure supplements 3-4).

432

We applied the fitted instantaneous probabilities of transitioning to the movement state to derivea fitted hazard function for each behavioral session (*Figure 8D*). The DAN GCaMP6f signals

were remarkably predictive of the hazard function, both for individual sessions and on average, explaining 65% of the variance on average (n=12 mice). Conversely, when the model was fit on the same data in which the timepoint identifiers were shuffled, this predictive power was essentially abolished, explaining only 5% of the variance on average (*Figure 8E*).

439

Together, these results demonstrate that slowly evolving dopaminergic signals are predictive of the moment-to-moment probability of movement initiation. When combined with the optogenetics results, they argue that dopaminergic signals causally modulate the moment-tomoment probability of the pre-potent movement. In this view, trial-by-trial variability in the DAN signal gives rise to trial-by-trial differences in movement timing in the self-timed movement task.

446

447 DISCUSSION

We made two main findings. First, both baseline and slowly ramping DAN signals were predictive of the timing of the first-lick. Second, optogenetic modulation of DANs affected the timing of movements on the *concurrent trial*, suggesting that DANs can play a "real time" role in behavior. These observations raise two (presumably separable) questions of interpretation: 1) what is the mechanistic origin of ramping DAN signals in the self-timed movement task, and 2) how do DAN signals affect self-timed movements in real time?

454

455 The origin of ramping DAN signals

A number of studies have reported short-latency (<500 ms) modulations in DAN activity
following reward-predicting sensory cues and immediately preceding movements (*Coddington*)

458 and Dudman, 2018; da Silva et al., 2018; Dodson et al., 2016; Howe and Dombeck, 2016; 459 Schultz et al., 1997), similar to the sensory- and motor-related transients we observed within 460 \sim 500 ms of the cue and first-lick. However, the ramping DAN signals we observed during self-461 timing were markedly slower, unfolding over *seconds* and preceding the first-lick by as long as 462 10 s. Previous studies have reported similarly slow ramping dopaminergic signals in other 463 behavioral contexts, including goal-directed navigation toward rewarded targets (Howe et al., 464 2013); multi-step tasks leading to reward (Hamid et al., 2016; Howard et al., 2017; Mohebi et 465 al., 2019); and passive observation of dynamic visual cues indicating proximity to reward (Kim et al., 2019). A common feature in these experiments and our self-timed movement task is that 466 467 trials culminated in the animal's receiving reward. Thus, parsimony suggests that dopaminergic 468 ramping could reflect reward expectation. However, dopaminergic ramping is generally absent 469 in Pavlovian paradigms, in which animals learn to expect passive reward delivery at a fixed time 470 following a conditioned stimulus (Menegas et al., 2015; Tian et al., 2016; Schultz et al., 1997; 471 Starkweather et al., 2017). (One exception is a report of ramping activity in monkey DANs 472 during a Pavlovian paradigm with reward uncertainty (Fiorillo et al., 2003); however, ramping 473 was not subsequently reproduced under similar conditions, either in monkeys (*Fiorillo*, 2011; Matsumoto and Hikosaka, 2009; Tobler et al., 2005) or rodents (Hart et al., 2015; Tian and 474 475 Uchida, 2015). Thus, while dopaminergic ramping is likely related to reward expectation, the 476 preponderance of evidence suggests that reward expectation *alone* is insufficient to cause DAN 477 ramping.

478

To reconcile these disparate findings, Gershman and colleagues proposed a formal model inwhich dopaminergic ramping encodes reward expectation in the form of an "ongoing" reward-

481 prediction error (RPE) that arises from resolving uncertainty of one's position in the value 482 landscape (i.e., one's spatial-temporal distance to reward delivery/omission). For example, 483 uncertainty is resolved if animals are provided visuospatial cues indicating proximity to reward 484 (Howe et al., 2013; Kim et al., 2019). In contrast, because animals can only imprecisely estimate the passage of time, the animal is uncertain of when reward will be delivered/omitted in 485 486 Pavlovian tasks. The RPE model holds that this temporal uncertainty flattens the Pavlovian value 487 landscape, thereby flattening dopaminergic ramping to the degree that it is obscured (Gershman, 488 2014; Kim et al., 2019; Mikhael and Gershman, 2019; Mikhael et al., 2019). Though both our task and Pavlovian tasks involve timing, the key difference may be that the animal actively 489 490 determines when reward will be delivered/omitted in the self-timed movement task—just after it 491 moves. Certainty in the timing of reward relative to its own movement would resolve the 492 animal's uncertainty of its position in the value landscape, and may thus explain why 493 dopaminergic ramping occurs prominently in the self-timed movement task, but not in Pavlovian 494 tasks (Hamilos and Assad, 2020). Though the RPE model provides a plausible explanation for 495 our findings, dopaminergic ramping signals are also consistent with broader views of "reward 496 expectation," such as tracking value as animals approach reward (Hamid et al., 2016; Mohebi et al., 2019). In a companion theoretical paper (Hamilos and Assad, 2020), we explore the reward-497 498 expectation-based computational framework in more detail, including a reconciliation of 499 apparently contradictory DAN signals reported in the context of a perceptual timing task (Soares 500 et al., 2016).

502 How do DAN signals affect movement in real time?

503 We found that trial-by-trial variability in ramping dynamics explained the precise timing of self-504 timed licks. However, because the animals could expect reward shortly after the first-lick, the 505 ramping dopaminergic signal might serve as a passive monitor of reward expectation rather than causally influencing the timing of movement initiation. To distinguish these possibilities, we 506 507 optogenetically manipulated SNc DAN activity. We found that exciting or inhibiting DANs 508 altered the timing of the first-lick on the concurrent trial, in a manner suggesting an 509 increase/decrease in the probability of movement, respectively. This suggests that endogenous 510 DAN signaling could play a causal role in the initiation of reward-related movements in real 511 time—but by what mechanism?

512

513 One possibility is that endogenous or exogenous DAN signals could increase the animal's 514 motivation or heighten its expectation of reward, which then secondarily influences reward-515 related movement. There is some evidence that might support this view. Phillips *et al.* found that 516 electrical stimulation of the VTA in rats elicited approach behavior for self-delivery of 517 intravenous cocaine; however, the electrical stimulation could have activated non-DAN fibers/pathways via the VTA (Phillips et al., 2003). Hamid et al. found that selective optogenetic 518 519 stimulation of DANs could shorten the latency for rats to engage in a port-choice task—but only 520 if the rat was disengaged from the task; if the rat was already engaged in task performance, the 521 latency became slightly longer (Hamid et al., 2016).

522

523 In contrast to these equivocal findings, a large body of evidence suggests that selective 524 optogenetic stimulation or inhibition of DANs generally does *not* affect reward-related

525 movements on the same trial. First, we ourselves could not evoke licking (nor inhibit 526 spontaneous licking) outside the context of our self-timed movement task (Figure 7-figure 527 supplement 4). Our mice were thirsty and perched near their usual juice tube, but offline DAN 528 stimulation/inhibition did not alter licking behavior, even though we applied the same optical 529 power that altered movement probability during the self-timed movement task. Numerous studies 530 have also examined the effects of optogenetic modulation of DANs in Pavlovian conditioning 531 paradigms, with the general finding that DAN modulation affects conditioned movements on 532 subsequent trials or sessions-a learning effect-but not on the same trial (Coddington and 533 Dudman, 2018, 2021; Lee et al., 2020; Maes et al., 2020; Morrens et al., 2020; Pan et al., 2021; 534 Saunders et al., 2018). For example, Lee et al. found that optogenetic inhibition of mouse DANs 535 at the same time as an olfactory conditioned stimulus had no effect on anticipatory licking on the 536 concurrent trial, even though inhibition at the time of reward delivery reduced the probability 537 and rate of anticipatory licking on subsequent trials (Lee et al., 2020). Thus, the preponderance 538 of evidence argues against a simple scheme whereby modulating DAN activity leads to a change 539 in motivation that automatically evokes or suppresses reward-related movements in real time. 540 The fact that we observed robust, concurrent optogenetic modulation of movement timing in our 541 experiment suggests that additional factors were at play for self-timed movements.

542

543 One possibility is that during self-timing, exogenous (optogenetic) stimulation of DANs summed 544 with the endogenous ramping DAN signal, leading to supra-heightened motivation to obtain 545 reward. However, when we deliberately over-stimulated DANs—eliciting even higher dopamine 546 signals in the DLS (*Figure 7—figure supplement 2*)—we observed "dyskinetic" body 547 movements rather than purposive licking. An alternative possibility is that the explicit timing

548 requirement of the self-timed movement task made it particularly responsive to dopaminergic 549 modulation. A long history of pharmacological and lesion experiments suggests that the 550 dopaminergic system modulates timing behavior (Meck, 2006; Merchant et al., 2013). Broadly 551 speaking, conditions that increase/decrease dopamine availability or efficacy speed/slow the "internal clock," respectively (Dews and Morse, 1958; Mikhael and Gershman, 2019; Schuster 552 553 and Zimmerman, 1961; Malapani et al., 1998; Meck, 1986, 2006; Merchant et al., 2013). The 554 dopaminergic ramping signals we observed also bear resemblance to Pacemaker-Accumulator 555 models of neural timing, in which a hypothetical accumulator signals that an interval has elapsed 556 when it reaches a threshold level (Gallistel and Gibbon, 2000; Lustig and Meck, 2005; Meck, 2006). To "self-time" a movement also implies that the movement is prepared and pre-potent 557 558 during the timing period, potentially making the relevant neural motor circuits more sensitive to 559 dopaminergic modulation.

560

Regardless of the detailed mechanism, our results provide a link between dopaminergic signaling and the initiation of self-timed movements. Though endogenous dopaminergic ramping likely reflects reward expectation, we propose that these reward-related ramping signals can influence the timing of movement initiation, at least in certain behavioral contexts. This framework also provides a link between two seemingly disparate roles that have been proposed for the dopaminergic system—reward/reinforcement-learning on one hand, and movement modulation on the other.

568

569 Importantly, we are not suggesting that DANs *directly* drive movement (like corticospinal or 570 corticobulbar neurons). To the contrary, outside of the context of the self-timed movement task,

571 we could not evoke reward-related movements by activating DANs. Even during the self-timed 572 movement task, DAN stimulation did not elicit immediate movements: first-lick times still 573 spanned a broad distribution from trial-to-trial. Moreover, dopaminergic ramping does not 574 invariably lead to movement. For example, Kim et al. found dopaminergic ramping in the 575 presence of visual cues that signaled proximity to reward, independent of reward-related 576 movements (Kim et al., 2019). Consequently, we propose that when a movement is pre-potent 577 (as in our self-timed movement task), dopaminergic signaling can modulate the probability of 578 initiating that movement. Consistent with this view, we found that the endogenous ramping 579 dynamics were highly predictive of the moment-by-moment probability of movement (as 580 captured by the hazard function), with DAN signals becoming progressively better predictors as 581 the time of movement onset approached.

582

583 This view of dopaminergic modulation of movement probability could be related to classic findings from extrapyramidal movement disorders, in which dysfunction of the nigrostriatal 584 585 pathway produces aberrations in movement initiation rather than paralysis or paresis (Bloxham 586 et al., 1984; Fahn, 2011; Hallett and Khoshbin, 1980). That is, movements do occur in 587 extrapyramidal disorders, but at inappropriate times, either too little/late (e.g., Parkinson's), or 588 too often (e.g., dyskinesias). Based on the deficits observed in Parkinsonian states (e.g., 589 perseveration), this role may extend to behavioral transitions more generally, e.g., starting new 590 movements or stopping ongoing movements (Guru et al., 2020).

592 Is DAN ramping also present before "spontaneous" movements?

593 We have suggested that the ramping DAN signals in the self-timed movement task could be 594 related to reward expectation coupled with the explicit timing requirement of the task. However, 595 when we averaged DAN signals aligned to "spontaneous" licks during the ITI, we also observed 596 noisy, slow ramping signals building over seconds up to the time of the next lick, with a time 597 course related to the duration of the inter-lick interval (Figure 8-figure supplement 2). This 598 observation raises the possibility that slowly evolving DAN signals may be related to the 599 generation of self-initiated movements more generally—although our highly trained animals may 600 have also been "rehearsing" timed movements between trials, and/or expecting reward even for 601 spontaneous licks.

602

603 Relationship to setpoint and stretching dynamics in other neural circuits

604 We found that DAN signals predict movement timing via two low-dimensional signals: a 605 baseline offset and a ramping dynamic that "stretches" depending on trial-by-trial movement 606 timing. Intriguingly, similar stretching of neural responses has been observed before self-timed 607 movement in other brain areas in rats and primates, including the dorsal striatum (*Emmons et al.*, 2017; Mello et al., 2015; Wang et al., 2018), lateral interparietal cortex (Maimon and Assad, 608 609 2006), presupplementary and supplementary motor areas (Mita et al., 2009), and dorsomedial 610 frontal cortex (DMFC; Remington et al., 2018; Sohn et al., 2019; Wang et al., 2018; Xu et al., 611 2014). In the case of DMFC, applying dimensionality reduction to the population responses 612 revealed two lower-dimensional characteristics that resembled our findings in DANs: 1) the speed at which the population dynamics unfolded was scaled ("stretched") to the length of the 613 produced timing interval (Wang et al., 2018), and 2) the population state at the beginning of the 614

615 self-timed movement interval ("setpoint") was correlated with the timed interval (Remington et 616 al., 2018; Sohn et al., 2019). Recurrent neural network models suggested variation in stretching 617 and setpoint states could be controlled by (unknown) tonic or monotonically-ramping inputs to 618 the cortico-striatal system (*Remington et al.*, 2018; Sohn et al., 2019; Wang et al., 2018). We 619 found that DANs exhibit both baseline (e.g., "setpoint") signals related to timing, as well as 620 monotonically ramping input during the timing interval. Thus, through their role as diffusely-621 projecting modulators, DANs could potentially orchestrate variations in cortico-striatal dynamics 622 observed during timing behavior. Ramping DAN signals could also be related to the slow 623 ramping signals that have been observed in the human motor system in anticipation of self-624 initiated movements, e.g., readiness potentials in EEG recordings (Deecke, 1996; Libet et al., 625 *1983*).

626

627 Possible relationship to motivational/movement vigor

628 In operant tasks in which difficulty is systematically varied over blocks of trials, increased inter-629 trial dopamine in the nucleus accumbens has been associated with higher average reward rate 630 and decreased latency to engage in a new trial, suggesting a link between dopamine and 631 "motivational vigor," the propensity to invest effort in work (Hamid et al., 2016; Mohebi et al., 632 2019). Intriguingly, we observed the opposite relationship in the self-timed movement task: periods with higher average reward rates had lower average baseline dopaminergic signals and 633 634 later first-lick times. Moreover, for a given first-lick time (e.g., 3.5-3.75 s), we did not detect 635 differences in baseline (or ramping) signals during periods with different average reward rates, such as near the beginning or end of a session. This difference between the two tasks may be due 636 637 to their opposing strategic constraints: in the aforementioned experiments, faster trial initiation

638 increased the number of opportunities to obtain reward, whereas earlier first-licks tended to639 decrease reward acquisition in our self-timed movement task.

640

641 The basal ganglia have also been implicated in controlling "movement vigor," generally 642 referring to the speed, force or frequency of movements (Bartholomew et al., 2016; Dudman 643 and Krakauer, 2016; Panigrahi et al., 2015; Turner and Desmurget, 2010; Yttri and Dudman, 644 2016). The activity of nigrostriatal DANs has been shown to correlate with these parameters 645 during movement bouts and could promote more vigorous movement via push-pull interactions 646 with the direct and indirect pathways (Barter et al., 2015; da Silva et al., 2018; Mazzoni et al., 2007; Panigrahi et al., 2015). Movement vigor might also entail earlier self-timed movements, 647 648 mediated by moment-to-moment increases in dopaminergic activity.

649

650 If moving earlier is a signature of greater movement vigor, then earlier self-timed movements 651 might also be executed with greater force/speed. We looked for movement-related vigor signals, 652 examining both the amplitude of lick-related EMG signals and the latency between lick initiation 653 and lick-tube contact. We detected no consistent differences in these force- or speed-related 654 parameters as a function of movement time; on the contrary, the EMG signals were highly 655 stereotyped irrespective of the first-lick time (data not shown). It is possible that vigor might 656 affect movement timing without affecting movement kinematics/dynamics-but, if so, the distinction between "timing" and "vigor" would seem largely semantical. 657

659 Overall view

660 We have posited that dopaminergic ramping reflects reward expectation, a common element of behavioral paradigms that reveal slow dopaminergic ramping. Furthermore, our optogenetic 661 662 manipulations indicate that dopaminergic signals do not directly trigger movements, but rather 663 act as if modulating the probability of the pre-potent self-timed movement. Taken together, these observations suggest that as DAN activity ramps up, the probability of movement likewise 664 increases. In this view, different rates of increase in DAN activity lead to shorter or longer 665 elapsed intervals before movement, on average. This framework leaves open the question of 666 667 what makes movement timing "probabilistic." One possibility is that recurrent cortical-basal ganglia-thalamic circuits could act to generate movements "on their own," without direct 668 external triggers (e.g., a "go!" cue). By providing crucial modulation of these circuits, DANs 669 670 could tune the propensity to make self-timed movements—and pathological loss of DANs could reduce the production of such movements. Future experiments should address how dynamic 671 672 dopaminergic input influences downstream motor circuits involved in self-timed movements.

673

674 SOURCE DATA

675 Source data files have been provided for all main figures and supplements:

- 676 Figure 1—source data
- 677 Figure 2—source data 1
- 678 Figure 2—source data 2
- 679 Figure 2—source data 3
- 680 Figure 2—source data 4
- 681 *Figure 3—source data*
- 682 Figure 4—source data
- 683 Figure 5—source data
- 684 Figure 6—source data
- 685 Figure 7—source data
- 686 Figure 8—source data





689 Figure 1. Self-timed movement task. (A) Task schematic (3.3 s version shown). (B) First-lick 690 timing distributions generated by the same mouse exhibit the scalar property of timing 691 (Weber's Law). Red: 3.3 s target time (4 sessions); Blue: 5 s target time (4 sessions). For all 692 mice, see Figure 1-figure supplement 1B. (C-E) Hazard-function analysis. Time=0 is the start-timing cue; dashed vertical lines are target times. (C) Uniform instantaneous probability 693 694 of movement over time is equivalent to a flat hazard rate (bottom) and produces an exponential first-lick timing distribution (top). (D) Before Training: First day of exposure to 695 the self-timed movement task. Top: average first-lick timing distribution across mice; bottom: 696 697 corresponding hazard functions. Gray traces: single session data. Red traces: average among all sessions, with shading indicating 95% confidence interval produced by 10,000x bootstrap 698 699 procedure. (E) Trained Behavior: Hazard functions (bottom) computed from the first-lick 700 timing distributions for the 3.3 s- and 5 s tasks (top) reveal peaks at the target times. Right: average first-lick timing distribution and hazard functions for all 12 GCaMP6f photometry 701 702 animals. See also Figure 1—figure supplements 1-2. Source data: Figure 1—source data.





706 Figure 2. SNc DAN signals preceding self-timed movement. (A) Left: surgical strategy for 707 GCaMP6f/tdTomato fiber photometry. Right: average SNc DAN GCaMP6f response for first-licks 708 between 3-3.25 s (12 mice). Data aligned separately to both cue-onset (left) and first-lick (right), 709 with the break in the time axis indicating the change in plot alignment. (B) Average SNc DAN 710 GCaMP6f responses for different first-lick times (indicated by dashed vertical lines). (C) 711 Comparison of average DAN GCaMP6f and tdTomato responses on expanded vertical scale. Traces plotted up to 150 ms before first-lick. See also Figure 2-figure supplements 1-3. Source data: 712 Figure 2—source data 1. 713





716Figure 3. Striatal dopamine release during the self-timed movement task. Photometry signals717averaged together from DA_{2m} signals (n=4 mice) and dLight1.1 signals (n=5 mice) recorded in718DLS. Axis break and plot alignment as in *Figure 2*. Dashed lines: first-lick times. Inset, left:719surgical strategy. Inset, right: Comparison of dLight1.1 and DA_{2m} dynamics. Expanded vertical720scale to show ramping in the average signals for DA_{2m} (solid trace) and dLight1.1 (dashed trace)721up until the time of the first-lick (first-lick occurred between 2-3 s after the cue for this subset of722the data). See also: *Figure 3—figure supplement 1*. Source data: *Figure 3—source data*.





724 Figure 4. Movement controls reliably detected movements, but there were no systematic 725 differences in movement during the timing interval. (A) Schematic of movement-control 726 measurements. (B) First-lick-aligned average movement signals on rewarded (red) and unrewarded (blue) trials. Pre-lick traces begin at the nearest cue-time (dashed red, dashed 727 728 blue). Left: one session; Right: all sessions. Dashed grey line: time of earliest-detected 729 movement on most sessions (150 ms before first-lick). Average first-lick-aligned tdTomato 730 optical artifacts showed inconsistent excursion directions (up/down) even within the same 731 session; signals for each artifact direction shown in Figure 4-figure supplement 1. Source 732 data: Figure 4—source data.





Figure 5. Contribution of optical artifacts, task variables and nuisance bodily movements to SNc GCaMP6f signals. (A) Nested encoding model comparing the contribution of timing-independent predictors (TI) to the contribution of timing-dependent predictors (TD). (B) Predicted dF/F signal for one session plotted up to time of first-lick. Model error simulated 300x (shading). (C) Nested encoding model for one session showing the actual recorded signal (1st panel), the timing-independent model (2nd panel), and the full, timing-dependent model with all predictors (3rd panel). Top: GCaMP6f; Bottom: tdTomato (tdt). Right: relative loss improvement by timing-dependent predictors (grey dots: single sessions, line: median, box: lower/upper quartiles, whiskers: 1.5x IQR). See also *Figure 5—figure supplement 1*. Source data: *Figure 5—source data*.



Figure 6. Single-trial DAN signals predict first-lick timing. (A) Schematic of nested decoding model. Categories for n-1th trial predictors: 2) reaction, 3) early, 4) reward, 5) ITI first-lick (see <u>Methods</u>). Bottom: single-trial cue-aligned SNc DAN GCaMP6f signals from one session (6 trials shown for clarity). Traces plotted up to first-lick. Right: threshold-crossing model. Low/Mid/High label indicates threshold amplitude. Dots: single trials. (B) Model weights. Error bars: 95% CI, *: p<0.05, 2-sided t-test. Numbers indicate nesting-order. (C) Variance explained by each model nest. Grey lines: single sessions; thick black line: average. For model selection, see *Figure 6—figure supplement 1C*. (D) Predicted vs. actual first-lick time, same session as 6A. See also Figure 6—figure supplements 1-4. Source data: Figure 6—source data.




Figure 7. Optogenetic DAN manipulation systematically and bidirectionally shifts the timing of self-timed movements. (A) Strategy for optogenetic DAN activation or inhibition. Mice were stimulated from cue-onset until first-lick or 7 s. (B) Empirical continuous probability distribution functions (cdf) of first-lick times for stimulated (blue line) versus unstimulated (grey line) trials. Arrow and shading show direction of effect. P-values calculated by Kolmogorov-Smirnov test (for other metrics, see *Figure 7—figure supplements 1-2*). (C) Median 1,000,000x bootstrapped difference in first-lick time, stimulated-minus-unstimulated trials. Box: upper/lower quartile; line: median; whiskers: 1.5x IQR; dots: single mouse. (D) Comparison of median first-lick time difference across all sessions. Error bars: 95% confidence interval (*: p<0.05, 1,000,000 bootstrapped median difference in first-lick time between sessions of different stimulation categories). See also *Figure 7—figure supplements 1-4*. Source data: *Figure 7—source data*.



774 Figure 8. Single-trial dynamic dopaminergic signals predict the moment-to-moment probability 775 of movement initiation. (A) Probabilistic movement-state model schematic. (B) Single-trial DAN 776 GCaMP6f signals at SNc from one session. First-lick time truncated 150 ms before movement detection to exclude peri-movement signals. Bottom: Movement states for the trials shown as a 777 778 function of time. Diagram on the right schematizes the model predictors relative to an example 779 time=t on a single trial. (C) Nested model fitted coefficients. (D) Decoded hazard functions from 780 full model (with all 10 predictors). Thick line=mean. n=12 mice. (E) Hazard function fitting with 781 shuffled datasets abolished the predictive power of the model (same 12 mice). See also Figure 8—figure supplements 1-2. Source data: Figure 8—source data. 782

783 MATERIALS AND METHODS

Key Resources Table				
Reagent type (species) or resource	Designation	Source or reference	Identifiers	Additional information
strain, strain background (<i>M</i> . <i>musculus</i>)	DAT-Cre	The Jackson Laboratory, Bar Harbor, ME	B6.SJL-Slc6a3 tm ^{1.1(cre)Bkmm} /J RRID:IMSR_JA X:020080	Cre expression in dopaminergic neurons
strain, strain background (<i>M</i> . <i>musculus</i>)	Wild-type	The Jackson Laboratory, Bar Harbor, ME	C57BL/6 RRID:IMSR_JA X:000664	
other	tdTomato ("tdt")	UNC Vector Core, Chapel Hill, NC	AAV1-CAG- FLEX-tdT	Virus, for control photometry expression
other	gCaMP6f	Penn Vector Core, Philadelphia, PA	AAV1.Syn.Flex. GCaMP6f.WPR E.SV40	Virus, for photometry expression
other	DA2m	Vigene, Rockville, MD	AAV9-hSyn- DA4.4(DA2m)	Virus, for photometry expression
other	dLight1.1	Lin Tian Lab; Children's Hospital Boston Viral Core, Boston, MA	AAV9.hSyn.dLi ght1.1.wPRE	Virus, for photometry expression
other	turboRFP	Penn Vector Core	AAV1.CB7.CI.T urboRFP.WPRE .rBG	Virus, for control photometry expression

other	ChR2	UNC Vector Core, Chapel Hill, NC	AAV5-EF1a- DIO- hChR2(H134R)- EYFP-WPRE- pA	Virus, for opsin expression
other	ChrimsonR	UNC Vector Core, Chapel Hill, NC	AAV1-hSyn- FLEX- ChrimsonR-tdT	Virus, for opsin expression
other	stGtACR2	Addgene/Janelia Viral Core, Ashburn, VA	AAV2/8-hSyn1- SIO-stGtACR2- FusionRed	Virus, for opsin expression
software, algorithm	Matlab	Mathworks	Matlab2018B	For most analyses
software, algorithm	Julia Programmin g Language	The Julia Project	Julia 1.5.3	For probabilistic models
software, algorithm	Gen.jl	The Gen Team	Gen.jl	For probabilistic models

785 Animals

786 Adult male hemizygous DAT-cre and female mice (Backman et al., 2006; B6.SJL-Slc6a3^{tm1.1(cre)Bkmm}/J, RRID:IMSR_JAX:020080; The Jackson Laboratory, Bar 787 Harbor, ME) or wild-type C57BL/6 mice were used in all experiments (> 2 months old at the 788 time of surgery; median body weight 23.8g, range 17.3-31.9 g). Mice were housed in standard 789 cages in a temperature and humidity-controlled colony facility on a reversed night/day cycle 790 (12 h dark/12 h light), and behavioral sessions occurred during the dark cycle. Animals were 791 792 housed with enrichment objects provided by the Harvard Center for Comparative Medicine (IACUC-approved plastic toys/shelters, e.g., Bio-Huts, Mouse Tunnels, Nest Sheets, *etc.*) and
were housed socially whenever possible (1-5 mice per cage). All experiments and protocols were
approved by the Harvard Institutional Animal Care and Use Committee (IACUC protocol
#05098, Animal Welfare Assurance Number #A3431-01) and were conducted in accordance
with the National Institutes of Health Guide for the Care and Use of Laboratory Animals.

798

799 Surgery

800 Surgeries were conducted under aseptic conditions and every effort was taken to minimize 801 suffering. Mice were anesthetized with isoflurane (0.5-2% at 0.8 L/min). Analgesia was provided by s.c. 5 mg/kg ketoprofen injection during surgery and once daily for 3 d postoperatively 802 803 (Ketofen, Parsippany, NJ). Virus was injected (50 nL/min) and the pipet remained in place for 10 804 min before removal. 200 µm, 0.53 NA blunt fiber optic cannulae (Doric Lenses, Quebec, Canada) 805 or tapered fiber optic cannulae (200 µm, 0.60 NA, 2 mm tapered shank, OptogeniX, Lecce, Italy) 806 were positioned at SNc, VTA or DLS and secured to the skull with dental cement (C&B 807 Metabond, Parkell, Edgewood, NY). Neck EMG electrodes were constructed from two Teflon-808 insulated 32G stainless steel pacemaker wires attached to a custom socket mounted in the dental 809 cement. Sub-occipital neck muscles were exposed by blunt dissection and electrode tips 810 embedded bilaterally.

811

812 Stereotaxic coordinates (from bregma and brain surface)

813 Viral Injection:

814 <u>SNc</u>: 3.16 mm posterior, +/- 1.4 mm lateral, 4.2 mm ventral

815 <u>VTA</u>: 3.1 mm posterior, +/-0.6 mm lateral, 4.2 mm ventral

816	DLS: 0 mm anterior, +/- 2.6 mm lateral, 2.5 mm ventral.
817	Fiber Optic Tips:
818	SNc/VTA: 4.0 mm ventral (photometry) or 3.9 mm ventral (optogenetics).
819	DLS: 2.311 mm ventral (blunt fiber) or 4.0 mm ventral (tapered fiber)
820	
821	Virus
822	Photometry:
823	tdTomato ("tdt"): AAV1-CAG-FLEX-tdT (UNC Vector Core, Chapel Hill, NC), 100 nL
824	used alone or in mixture with other fluorophores (below), working concentration
825	$5.3*10^{12} \text{gc/mL}$
826	gCaMP6f (at SNc or VTA): 100 nL AAV1.Syn.Flex.GCaMP6f.WPRE.SV40
827	(2.5*10 ¹³ gc/mL, Penn Vector Core, Philadelphia, PA). Virus was mixed in a 1:3 ratio
828	with tdt (200 nL total)
829	DA _{2m} (at DLS): 200-300 nL AAV9-hSyn-DA4.4(DA2m) (working concentration:
830	<i>ca.</i> $3*10^{12}$ gc/mL, Vigene, Rockville, MD) + 100 nL tdt
831	dLight1.1 (at DLS): 300 nL AAV9.hSyn.dLight1.1.wPRE bilaterally at DLS (ca.
832	9.6*10 ¹² gc/mL, Children's Hospital Boston Viral Core, Boston, MA) + 100 nL
833	AAV1.CB7.CI.TurboRFP.WPRE.rBG (ca. 1.01*10 ¹² gc/mL, Penn Vector Core)
834	Optogenetic stimulation/inhibition (all bilateral at SNc):
835	ChR2: 1000 nL AAV5-EF1a-DIO-hChR2(H134R)-EYFP-WPRE-pA (3.2*10 ¹³ gc/mL,
836	UNC Vector Core, Chapel Hill, NC)

837	<u>ChrimsonR +/- dLight1.1</u> : 700 nL AAV1-hSyn-FLEX-ChrimsonR-tdT (4.1*10 ¹² gc/mL,
838	UNC Vector Core, Chapel Hill, NC) +/- 400-550 nL AAV9-hSyn-dLight1.1
839	bilaterally at DLS (ca. 10 ¹³ gc/mL, Lin Tian Lab, Los Angeles, CA)
840	stGtACR2: 300 nL 1:10 AAV2/8-hSyn1-SIO-stGtACR2-FusionRed (working
841	concentration 4.7*10 ¹¹ gc/mL, Addgene/Janelia Viral Core, Ashburn, VA)
017	

843 Water-deprivation and acclimation

Animals recovered for 1 week postoperatively before water deprivation. Mice received daily water supplementation to maintain \geq 80% initial body weight and fed *ad libitum*. Mice were habituated to the experimenter and their health was monitored carefully following guidelines reported previously (*Guo et al., 2014*). Training commenced when mice reached the target weight (~8-9 d post-surgery).

849

850 Histology

Mice were anesthetized with >400 mg/kg pentobarbital (Somnasol, Henry Schein Inc, Melville, NY) and perfused with 10 mL 0.9% sodium chloride followed by 50mL ice-cold 4% paraformaldehyde in 0.1 M phosphate buffer. Brains were fixed in 4% paraformaldehyde at 4°C for >24 hr before being transferred to 30% sucrose in 0.1 M phosphate buffer for >48 hr. Brains were sliced in 50 μ m coronal sections by freezing microtome, and fluorophore expression was assessed by light microscopy. The sites of viral injections and fiber optic placement were mapped with an Allen Mouse Brain Atlas.

859 Behavioral rig, data acquisition and analysis

860 A custom rig provided sensory cues, recorded events and delivered juice rewards under the 861 control of a Teensy 3.2 microprocessor running a custom Arduino state-system behavioral 862 program with MATLAB serial interface. Digital and analog signals were acquired with a CED Power 1400 data acquisition system/Spike2 software (Cambridge Electronic Design Ltd, 863 864 Cambridge, England). Photometry and behavioral events were acquired at 1,000 Hz; movement 865 channels were acquired at 2,000 Hz. Video was acquired with FlyCap2 or Spinnaker at 30 fps (FLIR Systems, Wilsonville, OR). Data were analyzed with custom MATLAB statistics 866 867 packages.

868

869 Self-timed movement task

870 Mice were head-fixed with a juice tube positioned in front of the tongue. The spout was placed 871 as far away from the mouth as possible so that the tongue could still reach it to discourage 872 compulsive licking (Guo et al., 2014), ~1.5 mm ventral and ~1.5 mm anterior to the mouth. 873 During periods when rewards were not available, a houselamp was illuminated. At trial start, the 874 houselamp turned off, and a random delay ensued (0.4-1.5 s) before a cue (simultaneous LED 875 flash and 3300 Hz tone, 100 ms) indicated start of the timing interval. The timing interval was 876 divided into two windows, early (0-3.333 s in most experiments; 0-4.95 s in others) and reward (3.333-7 s; 4.95-10 s), followed by the intertrial interval (ITI, 7-17 s; 10-20 s). The window in 877 878 which the mouse first licked determined the trial outcome (early, reward, or no-lick). An early 879 first-lick caused an error tone (440 Hz, 200 ms) and houselamp illumination, and the mouse had to wait until the full timing interval had elapsed before beginning the ITI. Thus there was no 880 881 advantage to the mouse of licking early. A first-lick during the reward window caused a reward tone (5050 Hz, 200 ms) and juice delivery, and the houselamp remained off until the end of the
trial interval. If the timing interval elapsed with no lick, a time-out error tone played (131 Hz, 2
s), the houselamp turned on, and ITI commenced. During the ITI and pre-cue delay ("lamp-off
interval"), there was no penalty for licking.

886

Mice learned the task in 3 stages (Figure 1-figure supplement 1A). On the first 1-4 days of 887 888 training, mice learned a beginner-level task, which was modified in two ways: 1) to encourage participation, if mice did not lick before 5 s post-cue, they received a juice reward at 5 s; and 2) 889 890 mice were not penalized for licking in reaction to the cue (within 500 ms). When the mouse 891 began self-triggering \geq 50% of rewards (day 2-6 of training), the mouse advanced to the 892 intermediate-level task, in which the training reward at 5 s was omitted, and the mouse had to 893 self-trigger all rewards. After completing >250 trials/day on the intermediate task (usually day 4-894 7 of training), mice advanced to the mature task, with no reaction licks permitted. All animals 895 learned the mature task and worked for ~400-1,500 trials/session.

896

897 Hazard function correction of survival bias in the timing distribution

The raw frequency of a particular response time in the self-timed movement task is "distorted" by how often the animal has the chance to respond at that time (*Anger, 1956*). This bias was corrected by calculating the hazard function, which takes into account the number of response opportunities the animal had at each timepoint. The hazard function is defined as the conditional probability of moving at a time, *t*, given that the movement has not yet occurred (referred to as "IRT/Op" analysis in the old Differential Reinforcement of Low Rates (DRL) literature). The hazard function was computed by dividing the number of first-movements in each 250 ms bin of 905 the first-lick timing histogram by the total number of first-movements occurring at that bin-time906 or later—the total remaining "opportunities."

907

908 Online movement monitoring

Movements were recorded simultaneously during behavior with four movement-control
measurements: neck EMG (band-pass filtered 50-2,000 Hz, 60 Hz notch, amplified 100-1,000x),
back-mounted accelerometer (SparkFun Electronics, Boulder, CO), high-speed camera
(30 Hz, FLIR Systems, Wilsonville, OR), and tdTomato photometry. All control signals
contained similar information, and thus only a subset of controls was used in some sessions.

914

915 **Photometry**

Fiber optics were illuminated with 475 nm blue LED light (Plexon, Dallas, TX) 916 917 (SNc/VTA: 50 µW, DLS: 35 µW) measured at patch cable tip with a light-power meter 918 (Thorlabs, Newton, NJ). Green fluorescence was collected via a custom dichroic mirror (Doric 919 Lenses, Quebec, Canada) and detected with a Newport 1401 Photodiode (Newport Corporation, 920 Irvine, CA). Fluorescence was allowed to recover ≥ 1 d between recording sessions. To avoid 921 crosstalk in animals with red control fluorophore expression, the red channel was recorded at one 922 of the 3 sites (SNc, VTA, or DLS, 550 nm lime LED, Plexon, Dallas, TX) while GCaMP6f, 923 dLight1.1 or DA_{2m} was recorded simultaneously only at the other implanted sites.

925 dF/F

Raw fluorescence for each session was pre-processed by removing rare singularities (single
points >15 STD from the mean) by interpolation to obtain F(t). To correct photometry signals for
bleaching, dF/F was calculated as:

929

$$rac{dF}{F}(t)=rac{F(t)-F_0(t)}{F_0(t)}$$

- 930
- 931

where $F_0(t)$ is the 200 s moving average of F(t) (*Figure 2—figure supplement 2A*). We tested several other complementary methods for calculating dF/F and all reported results were robust to dF/F method (see *Methods: dF/F method characterization and validation*). To ensure dF/F signal processing did not introduce artifactual scaling or baseline shifts, we also tested several complementary techniques to isolate undistorted F(t) signals where possible and quantified the amount of signal distortion when perfect isolation was not possible (see *Methods: dF/F method characterization and validation*, below, and *Figure 2—figure supplement 2C*).

939

940 dF/F method characterization and validation

941 dF/F calculations are intended to reduce the contribution of slow fluorescence bleaching to fiber 942 photometry signals, and many such methods have been described (*Kim et al., 2019; Mohebi et* 943 *al., 2019; Soares et al., 2016*). However, dF/F methods have the potential to introduce artifactual 944 distortion when the wrong method is applied in the wrong setting. Thus, to derive an appropriate 945 dF/F method for use in the context of the self-timed movement task, we characterized and 946 quantified artifacts produced by 4 candidate dF/F techniques.

948 Detailed description of complementary dF/F methods.

- 949 1. <u>Normalized baseline</u>: a commonly used dF/F technique in which each trial's
 950 fluorescence is normalized to the mean fluorescence during the 5 s preceding the trial.
 951 2. <u>Low-pass digital filter</u>: F₀ is the low-pass, digital infinite impulse response
- 952 (IIR)-filtered raw fluorescence for the whole session (implemented in MATLAB with 953 the built-in function *lowpass* with $f_c=5\cdot 10^{-5}$ Hz, steepness=0.95).
- 954 3. <u>Multiple baseline</u>: a variation of Method 1, in which each trial's fluorescence is
 955 normalized by the mean fluorescence during the 5 s preceding the current trial, as
 956 well as 5 trials before the current trial and 5 trials after the current trial.
- 957 4. <u>Moving average</u>: F₀ is the 200 s moving average of the raw fluorescence at each point
 958 (100 s on either side of the measured timepoint).
- 959

960 Although normalized baseline (Method 1) is commonly used to correct raw fluorescence signals 961 (F) for bleaching, this technique assumes that baseline activity has no bearing on the trial 962 outcome; however, because the mouse decides when to move in the self-timed movement task, it is possible that baseline activity may differ systematically with the mouse's choice on a given 963 964 trial. Thus, normalizing F to the baseline period would obscure potentially physiologically-965 relevant signals. More insidiously, if baseline activity does vary systematically with the mouse's 966 timing, normalization can also introduce substantial amplitude scaling and y-axis shifting 967 artifacts when correcting F with this method (Figure 2-figure supplement 2C, middle panels). Thus, Methods 2-4 were designed and optimized to isolate photometry signals minimally 968 distorted by bleaching signals and systematic baseline differences during the self-timed 969

970 movement task. Methods 2-4 produced the same results in all statistical analyses, and the moving971 average method is shown in all figures.

972

973 *Isolating minimally-distorted photometry signals with paired trial analyses of raw fluorescence.*

974 Although slow bleaching prevents comparison of raw photometry signals (F) at one time in a 975 behavioral session with those at another time, the time-course of appreciable bleaching was slow 976 enough in the reported behavioral sessions that minimal bleaching occurred over the course of 977 3 trials (~1 min, Figure 2-figure supplement 2A). Thus, to observe the most minimally-978 distorted photometry signals possible, we compared F between pairs of consecutive trials 979 (Figure 2—figure supplement 2B-C). We compared F baseline signals between all paired trials 980 in which an early trial (unrewarded first-lick between 0.7-2.9 s; abbreviated as "E") was 981 followed by a rewarded trial (first-lick between 3.4-7 s; abbreviated as "R"); this two-trial 982 sequence is thus referred to as an "ER" comparison. To ensure systematic differences did not 983 result from subtle bleaching in the paired-trial interval, we reversed the ordering contingency and 984 also compared all Rewarded trials preceding Early trials ("RE" comparison). The same 985 systematic relationship between baseline signals and first-lick time was found for paired trials analyzed by raw F (Figure 2—figure supplement 2C, left panels). 986

987

988 *Quantification of artifactual amplitude scaling/baseline shifts introduced by dF/F processing.* 989 Each Candidate dF/F Method was applied to the same Paired Trial datasets described above. The 990 resulting paired-fluorescence datasets were normalized after processing (minimum dF/F=0, 991 maximum=1). The amount of distortion introduced by dF/F was quantified with a Distortion 992 Index (DI), which was calculated as:

Distortion Index, DI(t) = abs(F(t)-dF/F(t))

where F(t) and dF/F(t) are the normalized, paired-trial raw fluorescence signal or dF/F signal at time *t*, respectively. *t* spanned from the beginning of the n-1th trial (-20 s) to the end of the nth trial (20 s), aligned to the cue of the nth trial (*Figure 2—figure supplement 2C, bottom panels*).

997 The DI shown in plots has been smoothed with a 200 ms moving average kernel for clarity.

998

999 As expected, normalizing fluorescence to the baseline period (normalized baseline) erased the 1000 correlation of baseline dF/F signals with first-lick time (Figure 2-figure supplement 2C, 1001 middle panels). More insidiously, this also resulted in distortion of GCaMP6f dynamics *during* 1002 the timing interval, evident in the diminished difference between E-signals compared to Rsignals relative to the shapes observed in the raw fluorescence paired-trial comparison (Figure 1003 1004 2-figure supplement 2C, middle-bottom panel). However, dF/F Methods 2-4 visually and quantitatively recapitulated the dynamics observed in the raw fluorescence comparison (Figure 1005 2—figure supplement 2C, right panels). 1006

1007

1008 These results were corroborated by time-in-session permutation tests in which datasets for single sessions were divided into thirds (beginning of session, middle of session, and end of session). 1009 1010 The differences between baseline and ramping dynamics observed in whole-session averages 1011 were present even within these shorter blocks of time within the session (*i.e.*, faster ramping and 1012 elevated baseline signals on trials with earlier self-timed licks). Furthermore, permutation tests in 1013 which the block identity (begin, middle, end) was shuffled showed that this pattern held when trials with earlier first-licks from the end of the session were compared with trials with later first-1014 1015 licks from the beginning of the session (and vice versa).

1017 Normalized dF/F for comparing dopamine sensor signals

1018 DA_{2m} was about twice as bright as dLight1.1, and thus generally yielded larger and less noisy 1019 dF/F signals. To compare the two extracellular dopamine sensors in the same plot, dF/F was 1020 normalized for each signal by the amplitude of its lick-related transient. dF/F was calculated as 1021 usual, and then the mean baseline-to-transient peak amplitude was measured for trials with first-1022 licks occurring between 2-3 s. Percentage NdF/F is reported as the percentage of this amplitude.

1023

1024 Dopamine sensor kinetics

dLight1.1 is an extracellular dopamine sensor derived from the dopamine-1-receptor, and has 1025 fast reported kinetics: rise $t_{1/2} = 9.5 \pm 1.1$ ms, decay $t_{1/2} = 90 \pm 11$ ms (*Patriarchi et al., 2018*). 1026 1027 DA_{2m} is a new extracellular dopamine indicator derived from the dopamine-2-receptor, which provides brighter signals. DA_{2m} signals have been reported to decay slowly in slice preparations 1028 1029 but are much faster in vivo, presumably because endogenous dopamine-clearance mechanisms are preserved: reported rise $t_{1/2} \sim 50$ ms, decay $t_{1/2} \sim 360$ ms in freely behaving mice; decay $t_{1/2}$ 1030 1031 ~190 ms in head-fixed *drosophila* (Sun et al., 2020). To estimate the dopamine-sensor kinetics 1032 in our head-fixed mice, we examined the phasic fluorescence transient occurring on unrewarded first-licks (0.5-3.3 s), which showed a stereotyped fast rise and decay with both sensors (Figure 1033 2-figure supplement 3D-E). While the transient was somewhat complex (reminiscent of phasic 1034 1035 burst-pause responses sometimes observed for movement-related DAN activity (Coddington and 1036 Dudman, 2018, 2019), we measured the time for average fluorescence to decay from the peak of the transient to half the baseline-to-peak amplitude. We found decay $t_{1/2}$ ~75 ms for dLight1.1 and 1037 1038 $t_{1/2}$ ~125 ms for DA_{2m} (Figure 3—figure supplement 1). Given that the dopaminergic ramping

signals in our study evolved over several seconds, the kinetics of both dopamine sensors are thus
fast enough that they should not have caused appreciable distortion of the slow ramping
dynamics.

1042

1043 Pearson's correlation of baseline/lamp-off to cue interval signals to first-lick time.

The mean SNc GCaMP6f signal during the "baseline" (2 s interval before the lamp-off event) or minimum lamp-off interval ("LOI;" -0.4 s to 0 s, the cue-time) was compared to the first-lick time for pooled trials in *Figure 2C* by calculating the Pearson correlation coefficient. There were at least 700 trials in each pooled set of trials (0.75-4 s included).

1048

1049 DAN signal encoding model

1050 To test the independent contribution of each task-related input to the photometry signal and 1051 select the best model, we employed a nested fitting approach, in which each dataset was fit 1052 multiple times (in "nests"), with models becoming progressively more complex in subsequent 1053 nests. The nests fit to the GCaMP6f photometry data employed the inputs $X^{(j)}$ at each i^{th} nest:

1054	Null Model:	$X^{(0)} = x_0$
1055	Nest 1:	$X^{(1)} = X^{(0)} + tdTomato (tdt)$

1056 Nest 2: $X^{(2)} = X^{(1)} + cue + first-lick$

1057 Nest 3: $X^{(3)} = X^{(2)} + EMG/accelerometer$

1058 Nest 4: $X^{(4)} = X^{(3)} +$ time-dependent baseline offset

1059 Nest 5: $X^{(5)} = X^{(4)}$ + stretch representing percentages of interval

1060 Overfitting was penalized by ridge regression, and the optimal regularization parameter for each 1061 nest was obtained by 5-fold cross-validation to derive the final model fit for each session. Model improvement by each input was assessed by the percentage loss improvement at the nest where the input first appeared compared to the prior nest. The loss improvement of Nest 1 was compared to the Null Model (the average of the photometry timeseries). The nested model of tdt control photometry signals was the same, except Nest 1 was omitted.

1066

1067 The GLM for each nest takes the form:

1068

1069 Where Y is the Ixn vector of the photometry signal across an entire behavioral session (*n* is the 1070 total number of sampled timepoints); $X^{(j)}$ is the dxn design matrix for nest *j*, where the rows

1071 correspond to the d_j predictors for nest j and the columns correspond to each of the n sampled 1072 timepoints of Y; and θ is the dx1 vector of fit weights.

 $\mathbf{Y} = \mathbf{\Theta} \mathbf{X}^{(j)}$

1073

Y is the concatenated photometry timeseries taken from trial start (lights off) to the time of first
lick. Because of day-to-day/mouse-to-mouse variation (ascribable to many possible sources, *e.g.*,
different neural subpopulations, expression levels, behavioral states, *etc.*), each session was fit
separately.

1078

1079 The d_j design matrix predictors were each scaled (maximum amplitude 1) and grouped by input 1080 to the model. The timing-independent inputs were: 1. Null offset (x₀, 1 predictor), 2. tdt (1 1081 predictor), 3. cue (24 predictors), 4. first-lick (28 predictors), and 5. EMG/accelerometer (44 1082 predictors). The timing-dependent inputs were: 6. timing-dependent baseline offset (1 predictor), 1083 7. stretch (500 predictors).

1085 To reduce the number of predictors, the cue, first-lick and EMG/accelerometer predictors (Figure 5-figure supplement 1C) were composed from sets of basis kernels as described 1086 previously (Park et al., 2014; Runvan et al., 2017). The cue basis kernels were spaced 0-500 ms 1087 1088 post-cue and first-lick basis kernels were spaced -500 ms-0 ms relative to first-lick, the typically-1089 observed windows of stereotypical sensory and motor-related neural responses. For nuisance movements (EMG/accelerometer), events were first discretized by thresholding (Figure 5-1090 figure supplement 1B) and then convolved with basis kernels spanning -500 to 500 ms around 1091 the event. This window was consistent with the mean movement-aligned optical artifact 1092 1093 observed in the tdt channel. The timing-dependent baseline offset was encoded as a constant 1094 offset spanning from lamp-off until first-lick, with amplitude taken as linearly proportional to the timed interval on the current trial. The timing-dependent stretch input was composed of 500 1095 1096 predictors, with each predictor containing 1's tiling 0.05% of the cue-to-lick interval, and 0's otherwise (Figure 5-figure supplement 1D). Importantly, the stretch was not constrained in 1097 1098 any way to form ramps.

1099

Basis sets were optimized to minimize Training Loss, as calculated by mean squared error of theunregularized model:

1102
$$\operatorname{argmin}_{X^{(j)}}(\operatorname{Training Loss}(\Theta) = 1/n * (Y - \Theta X^{(j)})^2)$$

1103

Superfluous basis set elements that did not improve Training Loss compared to the Null Model
were not included in the final model. Goodness of the training fit was assessed by Akaike
Information Criterion (AIC), Bayesian Information Criterion (BIC), R², and Training Loss. The

optimal, regularized model for each nest/session was selected by 5-fold cross-validation in which 1107 the regularization parameter, λ_i , was optimized for minimal average Test Loss: 1108 $\operatorname{argmin}_{\lambda i} (\operatorname{Test} \operatorname{Loss}(\Theta, \lambda_i) = 1/n * (Y - \Theta X^{(j)})^2 + \lambda_i |\Theta|^2)$ 1109 1110 Test Loss for each optimal model was compared across nests to select the best model for each 1111 1112 session. Models were refit with the optimal λ_i to obtain the final fit. 1113 Model error was simulated 1,000 times by redrawing θ coefficients consistent with the data 1114 1115 following the method described by Gelman and Hill, 2006, and standard errors were propagated across sessions. The absolute value of each predictor was summed and divided by the total 1116 1117 number of predictors for that input to show the contribution of the input to the model (Figure 5—figure supplement 1G). To simulate the modeled session's photometry signal for each nest j, 1118 Yfit was calculated as $\Theta X^{(j)}$ and binned by the time of first-lick relative to the cue. The error in 1119 the simulation was shown by calculating $Yfit_{sim} = \Theta_{sim}X^{(j)}$ for 300 simulated sets of Θ_{sim} . 1120

1121

1122 Principal component analysis (PCA)

1123 Unsmoothed ramping intervals for photometry timeseries were fit with PCA and reconstructed 1124 with the first three principal components (PCs). To derive a PCA fit matrix with ramping 1125 intervals of the same number of samples, the length of each trial was scaled up by interpolation 1126 to the maximum ramping interval duration:

1127

7 s - 0.7 s cue buffer - 0.6 s first-lick buffer = 5.7 s: 5,700 sample ramping interval

Following PC-fitting, datasets were down-sampled to produce a fit of the correct time duration.
Trials where the ramping interval was <0.1 s were excluded to exclude noise from down-
sampling.

1131

1132 First-lick time decoding model

1133 A nested, generalized linear model was derived to predict the first-lick time on each trial in a 1134 session and quantify the contribution of previous reward history and photometry signals to the 1135 prediction. The model was of the form:

1136 $\log(y) = bx$

1137 where y is the first-lick time, b is a vector of fit coefficients and x is a vector of predictors. The 1138 nested model was constructed such that predictors occurring further back in time (such as reward 1139 history) and confounding variables (such as tdt photometry signals) were added first to determine 1140 the additional variance explained by predictors occurring closer to the time of first-lick, which 1141 might otherwise obscure the impact of these other variables. The predictors, in order of nesting, 1142 were:

- 1143 Nest 0: b0 (Null model, average log-first-lick time)
- 1144 Nest 1: b1 = b0 + first-lick time on previous trial (trial "n-1")
- 1145 Nest 2-5: b2 = b1 + previous trial outcome (1,0)*
- 1146 Nest 6: b3 = b2 + median photometry signal in 10s window before lamp-off ("ITI")
- 1147 Nest 7: b4 = b3 + median photometry signal from lamp-off to cue ("lamp-off interval")
- 1148 Nest 8: b5 = b4 + tdt threshold crossing time**
- 1149 Nest 9: b6 = b5 + GCaMP6f threshold crossing time**

1151 where all predictors were normalized to be in the interval (0,1).

1152

- * Outcomes included (in order of nest): Reaction (first-lick before 0.5 s), Early (0.5-3.333 s),
- 1154 Reward (3.333-7 s), ITI (7-17 s). No-lick was implied by all four outcomes encoded as zeros.
- 1155 ** Details on threshold-crossing time and alternative models included in *Methods: Derivation of*
- 1156 *threshold and alternative decoding models.*

1157

To exclude the sensory- and motor-related transients locked to the cue and the first-lick events in the threshold-crossing nests, the ramping interval was conservatively defined as 0.7 s post-cue up until 0.6 s before first-lick, and the minimum ramping interval for fitting was 0.1 s. Thus, for a trial to be included in the model, the first lick occurred between 1.4 s to 17 s (end of trial).

1162

1163 Initial model goodness of fit was assessed by R^2 , mean-squared loss and BIC. Models were 5-1164 fold cross-validated with ridge regression at each nest to derive the final models, as described 1165 above. 95% confidence intervals on model coefficients were calculated by 2-sided t-test with 1166 standard errors propagated across sessions.

1167

1168 Derivation of threshold and alternative decoding models

1169 *Derivation of threshold models*

1170 As a metric of the predictive power of ramping DAN signals on first-lick time, we derived a 1171 threshold-crossing model. A threshold-crossing event was defined as the first time after the cue 1172 when the photometry signal exceeded and remained above a threshold level up until the time of 1173 first-lick on each trial. Importantly, while the analysis approach is reminiscent of pacemakeraccumulator models for timing, we make <u>no</u> claims that the analysis is evidence for pacemakeraccumulator models. Rather threshold-crossing times provided a convenient metric to compare
the rate of increase in signals between trials.

1177

1178 Photometry timeseries for GCaMP6f and tdt were de-noised by smoothing with a 100 ms Gaussian kernel (kernel was optimized by grid screen of kernels ranging between 0-200 ms to 1179 minimize noise without signal distortion). To completely exclude the sensory- and motor-related 1180 transients locked to the cue and the first-lick events, the ramping interval was conservatively 1181 1182 defined as 0.7 s post-cue up until 0.6 s before the first-lick. To eliminate chance crossings due to 1183 noise, we imposed a stiff, debounced threshold condition: to be considered a threshold crossing event, the photometry signal had to cross the threshold from low-to-high and remain above this 1184 1185 level until the end of the ramping interval.

1186

To derive an unbiased threshold for each session, we tested 100 evenly-spaced candidate 1187 threshold levels spanning the minimum-to-maximum photometry signal during the ramping 1188 1189 interval for each session. Depending on threshold level, some trials never crossed, *i.e.*, signal always remained below threshold or started and ended above threshold. Thus, the lowest 1190 1191 candidate threshold for which there was a maximum number of trials crossing during the timing interval was selected as the "mid-level" threshold-crossing point. This threshold was specific to 1192 1193 each photometry signal tested on each session. Threshold-crossing time was included in the 1194 decoding model as the normalized time on the ramping interval (0,1). If a trial never crossed threshold, it was encoded as a zero. If no trials ever crossed threshold, the threshold predictor 1195

was encoded as a vector of ones, thus penalizing the model for an additional predictor butproviding no new information.

1198

1199 Multi-threshold Model

1200 An alternative model employed 3 unbiased thresholds: 1) the lowest threshold with \geq 50 trials 1201 crossing ("min"); 2) the lowest threshold with the most crossings ("mid," described above); and 3) the highest threshold with \geq 50 trials crossing ("max"). For tdt datasets, trials rarely met the 1202 monotonic threshold constraint (usually the signals oscillated above and below the threshold 1203 1204 throughout the ramping interval, failing to meet the debouncing constraint). Thus, to include tdt 1205 signals as conservatively as possible, we relaxed the 50-trial minimum constraint, taking the threshold with the most trials crossing, which was usually around 10 or fewer. The addition of 1206 1207 more thresholds did not substantially improve the cross-validated model compared to the singlethreshold model (Figure 6—figure supplement 1). 1208

1209

1210 Principal component analysis (PCA) threshold-crossing models

In another version of the decoding model, the threshold-crossing procedures were applied to ramping intervals fit with the first three PCs (as described in *Methods: Principal Component Analysis (PCA)*) to derive a PCA version of the single-threshold and multi-threshold models. PCA analysis on tdt datasets showed no consistent PCs, and thus these PCs were not included in the decoding model. Instead, the actual tdt data was employed in the threshold model as in the other models described.

1217

1218 Hierarchical Bayesian Modeling of Single-trial Dynamics.

1219 The probability of each single-trial SNc GCaMP6f signal belonging to a ramp vs. step Model 1220 Class was determined via Hierarchical Bayesian Model fitting with probabilistic programs 1221 written in the novel probabilistic programming language, Gen.jl, which is embedded in the Julia 1222 Programming Language (*Cusumano-Towner et al., 2019*). The top of the model hierarchy was 1223 the model class (linear ramp *vs.* step function) and the lower level was the respective 1224 parameterization of the two model classes (described below).

1225

The probability of the step *vs.* ramp model class was inferred with data-driven inference. The best fit (step or ramp and parameterization) for each trial was calculated across 20 iterations (Gen *Traces*) of hierarchical modeling with 50 rounds of probabilistic refinement (computation *via* Gen Importance Resampling) per iteration (in model testing, models typically converged to their steady-state probability of model class within only 30 rounds of refinement, but 50 rounds were used conservatively to reduce the likelihood of suboptimal classifications).

1232

<u>Data-driven inference procedure</u>: Each iteration of model fitting began at the top level of the hierarchy with a coin toss: with 50% probability, the probabilistic program would initialize with a model of either the Ramp or Step class. For data-driven inference, a Gen *Proposal* for the parameterization for this model class was then probabilistically generated. Data-driven proposals were designed to improve fitting efficiency and reduce computation time, and this allowed for faster convergence and better model fits as determined by the fit log-likelihood. The proposal heuristics were as follows:

<u>Ramp model</u>: A data-driven proposal was generated by dynamic noise random sample
 consensus (RANSAC; *Cusumano-Towner and Mansinghka, 2018*) with additional data-driven

1242	$constraints \ (see \ function \ ransac_assisted_model_selection_proposal \ in \ the \ Gen \ Github$
1243	files):
1244	1. SLOPE, a. The maximum data-supported slope was used to set the variance of slope
1245	sampling:
1246	$a \sim Gaussian$ (RANSAC-sampled slope, maxslope/2).
1247	where maxslope was defined as the difference of the maximum and minimum signal within
1248	the trial dataset divided by the total duration of the trial (by definition, the largest slope
1249	supported by the data).
1250	2. INTERCEPT, b. The initial search for the intercept ("b-max") was calculated as the
1251	intercept for the calculated masslope parameter), and this was used to set the noise level
1252	on sampling of the intercept parameter:
1253	$b \sim Gaussian$ (RANSAC-sampled intercept, <i>b-max</i> /2)
1254	3. NOISE, σ . Parametrized noise level was sampled as:
1255	$\sigma \sim Beta(a,\beta)$
1256	where α,β are the parameters of the beta distribution with mode=std(signal).
1257	
1258	Step model: The data-driven proposal included two constraints/heuristics:
1259	1. STEPTIME. Derivative constraint: To avoid sampling all unlikely step-times, steptimes
1260	were sampled uniformly from the timepoints where the derivative of the signal was in
1261	the highest 5% of the signal's derivative across the trial dataset:
1262	steptime ~ uniform(indices of 95 th percentile of derivative of the signal)

1263	2. LEFT and RIGHT SEGMENTS. Once a steptime was sampled, likely left and right
1264	segment amplitudes were sampled near the mean of the signal on either side of the step,
1265	<i>e.g.</i> :
1266	<i>left</i> ~ <i>Gaussian</i> (mean(signal left of <i>steptime</i>), std(signal left of <i>steptime</i>))
1267	right ~ Gaussian(mean(signal right of steptime), std(signal right of steptime))
1268	3. NOISE, σ . The noise level was sampled as in the ramp model,
1269	$\sigma \sim Beta(\mathbf{a}, \mathbf{\beta})$
1270	except α,β were the parameterization of a Beta distribution with mode equal to the
1271	standard deviation of the signal left of steptime.
1272	
1273	After model initialization for each Trace, 50 rounds of Importance Resampling of the
1274	hierarchical model were then conducted, each time randomly generating ramp or step hypotheses
1275	from the proposal heuristics. On each round, the best fitting hypothesis was retained, such that
1276	each of the 20 Trace iterations of model classification returned one optimized model from the 50
1277	rounds of Importance Resampling.
1278	
1279	The probability of the model class for each single-trial was then defined as the proportion of the
1280	20 Trace iterations that found the optimal model to be derived from that model class (e.g., if the
1281	model returned 15 step-fits and 5 ramp-fits, the p(ramp) was 0.25). Examples of the 20 Trace
1282	iterations for two sample trials are shown in <i>Figure 6—figure supplement 2B</i> .
1283	

1284 To determine whether the step model detected step-functions in the GCaMP6f dataset, the step 1285 model was inferred alone to find step-fits for every trial, and single-trial signals were realigned to

1286 the optimal *steptime* (GCaMP6f, tdTomato, EMG, *Figure 6—figure supplement 4A-B*).

1287

1288 Single-trial dynamics analysis with geometric modeling ("Multiple threshold modeling").

1289 The multi-threshold procedure described above was also employed to determine whether single-1290 trial ramping dynamics were more consistent with a continuous ramp *vs*. discrete step dynamic 1291 on single-trials. The threshold-crossing time for each trial was regressed against its first-lick time, 1292 and the slope of this relationship was reported, as well as the variance explained.

1293

1294 Single-trial variance analysis for discrete step dynamics.

For discrete step single trial dynamics to produce ramping on average, the time of the step across trials must be distributed throughout the trial interval (importantly, a peri-motor spike occurring consistently just before first-lick *cannot* give rise to continuous ramping dynamics on average). As such, the variance in the GCaMP6f signals across trials for similar first-lick times should be minimal near the time of the cue (when few trials have stepped) *and* near the time of the first-lick (when all of the trials have stepped). This predicts an inverted-U shaped relationship of signal variance across trials *vs.* position in the timing interval.

1302

To compare variance across trials equitably, trials were first aligned to the cue and pooled by first-lick time in pools of 1s each (1-2 s, 2-3 s, *etc.*) truncating at the earliest first-lick time within the pool. The variance in GCaMP6f signals across trials within a pool was quantified in 10% percent increments of time from the cue up to the earliest first-lick time in the pool (*i.e.*, 1-2 s pool truncated at 1 s, divided into 100 ms increments). Measuring variance by percent of elapsed
time within pool allowed pooling of trials across the entire session. The shape of the variance *vs*.
percent of timed interval elapsed was compared to the inverted-U shape prediction to assess for
discrete step dynamics.

1311

1312 Optogenetics—determining the physiological range for activation experiments

To test whether optogenetic manipulations during the self-timing task were in the physiological 1313 1314 range, we assessed the magnitude of the effect of activation on dopamine release in the DLS by 1315 simultaneous photometry recordings with optical activation (Figure 7—figure supplement 2). In 1316 two DAT-cre mice, we expressed ChrimsonR bilaterally in SNc DANs and the fluorescent 1317 dopamine indicator dLight1.1 bilaterally in DLS neurons. SNc cell bodies were illuminated 1318 bilaterally (ChrimsonR 550 nm lime or 660 nm crimson, 0.5-5 mW) on 30% of trials (10 Hz, 10 or 20 ms up-time starting at cue onset and terminating at first-lick). dLight1.1 was recorded with 1319 35 µW 475 nm blue LED light at DLS. To avoid crosstalk between the stimulation LED and the 1320 photometry recording site, the brief stimulation up-times were omitted from the photometry 1321 1322 signal and the missing points filled by interpolation between the adjacent timepoints.

1323

In a few preliminary sessions, we also explored whether we could evoke short-latency licking (*i.e.*, within a few hundred ms of the stimulation) if light levels were increased above the physiological range for DAN signals. Rather than eliciting immediate licking, higher light levels produced bouts of rapid, nonpurposive limb and trunk movements throughout stimulation, and task execution was disrupted. The animals appeared to have difficulty coordinating the extension of the tongue to touch the lick spout. Simultaneous DLS dopamine detection showed large, sustained surges in dopamine release throughout the period of stimulation, with an average
amplitude comparable to that of the reward transient (*Figure 7—figure supplement 2, right*).
This extent of dopamine release was never observed during unstimulated trials. Consequently, to
avoid overstimulation in activation experiments, we kept light levels well below those that
generated limb and trunk movements.

1335

1336 Optogenetics—naïve/expert control sessions.

To determine whether optogenetic stimulation directly elicited or prevented licking, licking 1337 1338 behavior was first tested outside the context of the self-timed movement task on separate 1339 sessions in the same head-fixed arena but with no cues or behavioral task. Opsin-expressing mice were tested before any exposure to the self-timed movement task ("Naïve") as well as after the 1340 1341 last day of behavioral recording ("Expert"). In ChR2 control sessions, stimulation (5 mW 425 nm light, 3 s duration, 10 Hz, 20% duty cycle) was applied randomly at the same pace as in the 1342 self-timed movement task. stGtACR2 control sessions were conducted similarly (12 mW 425 1343 mW light, 3 s duration, constant illumination); but to examine if inhibition could block ongoing 1344 1345 licking, we increased the baseline lick-rate by delivering juice rewards randomly (5% probability 1346 checked once every 5 s).

1347

1348 **Optogenetics**—self-timed movement task.

SNc DANs were optogenetically manipulated in the context of the 3.3 s self-timed movement
task. To avoid overstimulation, light levels were adjusted to be subthreshold for eliciting overt
movements as described above, and mice were not stimulated on consecutive days.

1352

<u>Activation</u>: SNc cell bodies were illuminated bilaterally (ChR2: 0.5-5 mW 425 nm blue LED
 light; ChrimsonR 550 nm lime or 660 nm crimson) on 30% of trials (10 Hz, 10 or 20% duty
 cycle starting at cue onset and terminating at first-lick). DAN terminals in DLS were
 stimulated bilaterally via tapered fiber optics on separate sessions.

- 1357 <u>Inactivation</u>: SNc cell bodies were illuminated bilaterally (stGtACR2: 12 mW 425 nm blue light)
- 1358 on 30% of trials (constant illumination starting at cue onset and terminating at first lick).

1359

1368

1360 Quantification of optogenetic effects.

1361 The difference in the distribution of trial outcomes between stimulated and unstimulated trials on1362 *each session* was quantified in four ways.

- 1363 <u>1. 2-Sample Unsigned Kolmogorov-Smirnov Test.</u>
- <u>2.</u> Difference in empirical continuous probability distribution function (cdf). The difference
 in the integral of the stimulated and unstimulated cdf (dAUC) was calculated for each
 session from 0.7-7 s. Effect size was quantified by permutation test, wherein the identity
 of each trial (stimulated or unstimulated) was shuffled, and the distribution of dAUCs for

the permuted cdfs was calculated 10,000x. Results were reported for all sessions.

- <u>3.</u> Difference in mean movement time. Movement times on stimulated and unstimulated trials were pooled and the distribution of movement time differences was determined by non-parametric bootstrap, in which a random stimulated and unstimulated trial were drawn from their respective pools 1,000,000x and the difference taken. The mean of each session's bootstrapped distribution was compared across sessions by the 1,000,000x
 bootstrapped difference of the mean between sessions of different categories.
- 1375 <u>4. Difference in median movement time</u>. Same as above but with median.

1377 Single-trial probabilistic movement state decoding model.

1378 The probability of transitioning to a movement state, $s_t=1$, at time=t was decoded with a logistic 1379 generalized linear model of the form:

1380

$$p(s_t=1) = logit(bX_t)$$

where X_t is a vector of predictors for the timepoint, *t*, and *b* is the vector of fit coefficients. The vector of predictors was comprised of the GCaMP6f signal at every timepoint (the current time, *t*) as well as the signal history, represented as 200 ms-wide signal averages moving back in time from *t*. Previous trial history (n-1th and n-2th first-lick times and reward/no-reward outcomes) did not contribute significantly to the model during model selection and were thus omitted (see Model Selection, below).

1387

Movement state, st, was defined as a binary variable, where state=0 represented all timepoints 1388 between the cue up until 160 ms before the first-lick detection (to exclude any potential peri-1389 1390 movement responses), and state=1 represented the timepoint 150 ms before the first-lick. Because there were many more state=0 than state=1 samples in a session, state=0 points were 1391 1392 randomly down-sampled such that states were represented equally in the fit. To avoid randomly sampling a particular model fit by chance, each dataset was fit on 100 randomly down-sampled 1393 (bootstrapped) sets, and the average fit across these 100 sets was taken as the model fit for the 1394 1395 session.

GCaMP6f signals were smoothed with a 100 ms gaussian kernel and down-sampled to 100 Hz.
The GCaMP6f predictors were then nested into the model starting with those furthest in time
from the current timepoint, *t*:

1400

1401	Nest 0:	b0 (Null model)
1402	Nest 1:	b1 = b0 + mean GCaMP6f 1.8:2.0 s before current time=t
1403	Nest 2:	b2 = b1 + mean GCaMP6f 1.6:1.79 s before current time=t
1404	Nest 3:	b3 = b2 + mean GCaMP6f 1.4:1.59 s before current time=t
1405	Nest 4:	b4 = b3 + mean GCaMP6f 1.2:1.39 s before current time=t
1406	Nest 5:	b5 = b4 + mean GCaMP6f 1.0:1.19 s before current time=t
1407	Nest 6:	b6 = b5 + mean GCaMP6f 0.8:0.99 s before current time=t
1408	Nest 7:	b7 = b6 + mean GCaMP6f 0.6:0.79 s before current time=t
1409	Nest 8:	b8 = b7 + mean GCaMP6f 0.4:0.59 s before current time=t
1410	Nest 9:	b9 = b8 + mean GCaMP6f 0.2:0.39 s before current time=t
1411	Nest 10:	b10 = b9 + GCaMP6f signal at current time= t

1412

1413 Nesting the predictors from most distant in time to most recent permitted observation of the 1414 ability of more proximal signal levels to absorb the variance contributed by more distant signal 1415 history.

1416

1417 The fitted hazard function was then found as the average probability of being in the movement 1418 state across all trials in the session as calculated from the average model fit. Because $s_t=0$ states 1419 were significantly downsampled during fitting, this rescaled the fit hazard. Thus, to return the fit hazard to the scale of the hazard function calculated from the behavioral distribution, both the fit hazard and true hazard function were normalized on the interval (0,1), and the goodness of fit was assessed by R² comparison of the fit and true hazard functions. This metric was similar between individual session fits as well as the grand-average fit across all animals and sessions.

1424

1425 To guard against overfitting, this procedure was repeated on the same datasets, except the 1426 datasets were shuffled before fitting to erase any non-chance correlations between the predictors 1427 and the predicted probability of being in the movement state.

1428

1429 Model selection

To evaluate the contribution of task performance history to the probability of being in the 1430 1431 movement state at time=t, we could not observe every timepoint in the GCaMP6f trial period timeseries as we did in the final model because the trial history for a given timepoint was the 1432 same for all other points in the trial; hence this created bias because the movement state=1 was 1433 1434 represented for all trials, but the likelihood of the a trial's 0 state being represented after down-1435 sampling was dependent on the duration of the trial (*i.e.*, first-lick time). Consequently, model 1436 selection was executed on a modified version of the model that ensured that each trial would only be represented one time at most in the fit. Because this greatly reduced the power of the 1437 model, model selection was conducted on sessions from the two animals with the highest S:N 1438 1439 ratio and most trials to ensure the best chance of detecting effects of each predictor (Figure 8 figure supplement 1). 1440

1441

1442 The set of permutations of GCaMP6f signal and task history were fit separately, and the best 1443 model selected by BIC (though notably AIC and AICc were in agreement with the BIC selection). Each model was fit in "time-slices"—windows of 500 ms from the time of the cue up 1444 1445 until the first-lick. Only one point for each trial was fit within this window to ensure the 1446 movement state within the window was uniquely represented. For each time-slice model, the 1447 GCaMP6f signal for each trial was thus averaged within the time-slice window, and the movement state was 1 only if the movement state occurred sometime within the window. The 1448 model fit for a session was taken as the average model fit across each of the time-slices. Notably, 1449 a time-slice required a sufficient number of trials to be present (either in the $s_t=0$ or terminating 1450 in the movement state $s_t=1$) for the fit to converge; once the first-lick occurred for a trial, it did 1451 not contribute data to later time-slices. The source data files for Figure 8—figure supplement 1 1452 1453 contain plots of all time-slice coefficient fits, including for models with insufficient numbers of trials to converge. 1454

1455

1456 Code Availability. All custom behavioral software and analysis tools are available with sample
1457 datasets at https://github.com/harvardschoolofmouse.

1458

1459 Data Availability. All datasets supporting the findings of this study are publicly available (DOI:
10.5281/zenodo.4062749). Source data files have been provided for all figures.

1461

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1473

1474 COMPETING INTERESTS

1475 J.A.A. is a co-founder of OptogeniX, which produces the tapered optical fibers used in some1476 experiments.

SUPPLEMENTAL FIGURES



1478 Figure 1—figure supplement 1. Self-timed movement task learning and variations. (A) Task learning. 1479 Histogram of first-lick times from single sessions at different stages of training (red: reaction, grey: early, 1480 blue: operant-rewarded, yellow: Pavlovian-rewarded). Bars >50 first-licks truncated for clarity. (B) Mice adjust behavior to the timing-contingencies of the task. First-lick time distributions from tasks with 1481 1482 different target timing intervals. Red: 3.3 s reward-boundary. Blue: 5 s reward-boundary (all sessions, all 1483 mice). (C) Mice time their first-licks relative to the start cue, not the houselamp. First-lick time 1484 distributions during behavior with (red) and without (black) houselamp events (4 mice, 4-5 1485 sessions/mouse on each version of the task). Source data: Figure 1-source data.


1486 1487

Figure 1—figure supplement 2. Fiber optic placement and histology. (A) Approximate fiber positions for all mice. (B) Brightfield microscopy with polarized filter on a freshly cut brain slice showing bilateral fiber placement at SNc (from stGtACR2 experiment). (C) Example of co-expression of green (DA_{2m}) and red (tdTomato) fluorophores relative to fiber optic tip.



1493 1494 Figure 2-figure supplement 1. Baseline correlation of dopaminergic signal with first-lick time is not 1495 dependent on the duration of the lamp-off interval. (A) SNc GCaMP6f dopaminergic signals aligned to the lamp-off event (n=12 mice, all 98 sessions). "Baseline:" 2 s interval before lamp-off event. (B) SNc 1496 GCaMP6f dopaminergic signals aligned to the cue, all sessions, "LOI:" Lamp-Off-Interval between lamp-1497 1498 off and cue. (C) 14/98 sessions showed a small relationship between LOI duration and first-lick time 1499 $(R^2 < 0.04 \text{ for } 13/14 \text{ sessions}, \text{ sign of correlation inconsistent among sessions})$. Omitting these 14 sessions did not eliminate the Baseline or Lamp-Off Interval correlation between dopaminergic signal amplitude 1500 1501 and first-lick timing. Source data: Figure 2-source data 2.



1542 Figure 2—figure supplement 2. dF/F method validation. (A) Left: slow, raw fluorescence bleaching 1543 across one session. Left inset: Minimal bleaching occurs across the first 3 trials (~1 min). Right: dF/F removes slow bleaching dynamics. Right inset: The same 3-trial window shown for dF/F signal. (B) 1544 1545 Average raw fluorescence on paired, consecutive trials from one session aligned to cue on the nth trial. Left: n-1th trial was early, nth trial was rewarded ("ER" condition). Right: "RE" condition (See Methods: 1546 1547 dF/F method characterization and validation). (C) Comparison of baseline GCaMP6f signals on paired, 1548 consecutive trials aligned to cue. Columns: three different versions of the signal (Raw fluorescence, 1549 Normalized baseline dF/F method, Moving average dF/F method). Top row: ER condition; middle row: 1550 RE condition; bottom row: distortion index. Red distortion index plot shows only Normalized baseline method. Green distortion index plot shows overlay of Moving Average, Low-Pass Filter, and Multiple 1551 1552 Baseline dF/F Methods because the difference in signal distortion between these methods was indistinguis source data 1553 : Figure 2— Cue Cue 3.3 s 1554 1555 1% 5% dF/F 1556 dF/F SNc 1557 GCaMP6f DAN 1558 **Cell Bodies 3** s 1559 1560 1561 В 0.2% 1562 dF/F 1563 2% dF/F 1564 SNc 1565 GCaMP6f DAN Terminals at DLS 1566 1567 1568 1569 1% dF/F 1570 10% dF/F 1571 VTA 1572 GCaMP6f DAN,Cell Bodies 1573 1574 1575 1576 0.05% 1577 dF/F 0.5% dF/F 1578 DLS dLight1.1 1579 1580 1581 1582 Ε 1583 0.1% 1584 dF/F 1585 2.5% dF/F 1586 DLS DA2m 1587 1588 $\chi\chi\chi\chi\chi\chi$ 1589 **F** 0.1% 1590 1591 1592 1% dF/F tdTomato 0 3.3 7 s

Figure 2—figure supplement 3. Average photometry signals, pooled every 250 ms by first-lick time, spanning 0.5 s (purple) to 7 s (red). Signals in main panels aligned only to cue, not first-lick. (A) Average DAN GCaMP6f signals at SNc cell bodies (12 mice). (B) DAN GCaMP6f signals at axon terminals in DLS (10 mice). (C) Striatal dopamine detection with dLight1.1 at DLS (5 mice). (D) Striatal DA_{2m} signals at DLS (4 mice). (E) DAN GCaMP6f signals at VTA cell bodies (4 mice). (F) tdTomato signals. Insets (left): Cue and lick-aligned average signals for a single time bin before first-lick to show pre-lick ramping present in all dopaminergic signals. Left of axis break: aligned to cue. Right of axis break: aligned to first-lick. Traces plotted up until 150 ms before first-lick. Source data: Figure 2-source data .



1610 Figure 3—figure supplement 1. Comparison of dLight1.1 (dashed) and DA_{2m} (solid) kinetics 1611 surrounding peak of unrewarded transient (first-lick: 0.5-3.3 s). Red line: ½ baseline-to-peak amplitude 1612 for measuring decay $t_{1/2}$ (see *Methods*). Source data: *Figure 3—source data*.



Figure 4—figure supplement 1. Average tdTomato optical artifacts (aligned to first-lick time)
showed inconsistent directions even within the same session. Averages for all three types of artifact
(consistently up, "Up"; consistently down, "Down"; and not consistent "NC") shown for all
sessions. Pie plots: Breakdown of average tdt artifact direction by session at each recording site.
Source data: *Figure 4—source data*.



1628 Figure 5—figure supplement 1. DAN signal encoding model parameterization and model selection. 1629 (A) Schematic of photometry timeseries fit by encoding model. The lamp-off to first-lick interval was 1630 excised from each trial in a session (top) and concatenated to produce the timeseries fit by the model (bottom). (B) EMG spikes derivation: thresholding rectified EMG at 3 standard deviations (example trial). 1631 (C) Optimized basis kernels to produce timing-independent features. (D) Schematic of Design Matrix for 1632 1633 timing-dependent features. (E) GCaMP6f model fits by nest iteration for example session. Shading: 1634 model error simulated 300x. (F) Model loss by nest iteration. Green: mean loss for SNc GCaMP6f; red: mean loss for tdTomato (tdt); grey lines: individual sessions; grey shading: timing-dependent nests. Left: 1635 1636 full-scale view of all datasets. Right: mean GCaMP6f and tdt loss compared on same scale. (G) Summary of feature weights across SNc GCaMP6f (left) and tdt (right) models. Coefficient weights were rectified, 1637 1638 summed, and divided by the number of predictors per feature. 2x standard error bars (too small to see). 1639 All features were significant in both GCaMP6f and tdt models. (H) Top: examples of the full timing-1640 dependent model (nest 5) from additional mice for all recorded dopaminergic signals. Bottom: tdt control 1641 channel fit. Model errors simulated 300x. Some mice show downward-going movement-related spikes at 1642 SNc cell bodies (second panel). All mice showed downward-going movement-related spikes from SNc 1643 terminals in DLS (middle panel). Source data: Figure 5-source data.





1646 Figure 5—figure supplement 2. Principal component analysis (PCA) of the ramping interval (0.7 s up to 1647 first-lick relative to cue). (A) Left: Variance explained by first 10 principal components (PC). Right: first 1648 1649 three principal components. Green line: mean PC, GCaMP6f recorded at SNc; Red line: mean PC, 1650 tdTomato (tdt) recorded at SNc and VTA; Grey lines: single-session data. X-axis shown for longest-1651 possible interpolated trial duration; trials of shorter duration were interpolated to have the same number of 1652 samples for PCA. (B) Example session data simulated with first 3 PCs. Noisy traces: actual averaged 1653 GCaMP6f signals truncated at first-lick onset; Smooth traces: PC fits of the same trials. Source data: 1654 Figure 5—source data.



Figure 6—figure supplement 1. Variations of the first-lick time decoding model. *: p<0.05, error bars:
 95% confidence intervals. GCaMP6f threshold crossing time dominated every version of the model; n-1th
 trial first-lick time was consistently the second-best predictor. Source data: *Figure 6—source data*.



Figure 6—figure supplement 2. Analysis of single-trial dynamics: Hierarchical Bayesian Ramp vs. Step Modeling. (A) Schematic (see Methods: Hierarchical Bayesian Modeling of Single-trial Dynamics). (B) Example fits from hierarchical model on 2 example single trials from the same epoch in a single session. Green: SNc GCaMP6f single-trial signal, light grey shading: noise band, dark grey lines: model fits. Note that the top trial is more frequently classified as a ramp, and the lower trial is more frequently classified as a step. However, both the ramp and step models return intuitive and reasonable fits to both single-trial signals. (C). Probability of model class across all trials. X axis: 0 indicates all probabilistic fits for a given trial returned step-class models; 1 indicates all ramp-class models. Single sessions across mice showed considerable uncertainty in model classification. Source data: Figure 6-source data.



1681 1682

1683 Figure 6—figure supplement 3. Geometric analysis of single-trial dynamics with Multiple Threshold 1684 Modeling. (A) Left: linear ramp model, Right: discrete step model. Step positions drawn from uniform 1685 distribution over the cue-to-first-lick interval. Low-, Mid- and High- level thresholds shown. (B) 1686 Threshold-crossing time vs. first-lick time ("X-ing time vs. first-lick time") for (from top to bottom) High-, Mid- and Low-level thresholds. Left: simulation predictions for ramp and step models. Right: X-ing time 1687 1688 vs. first-lick time regression fit on single trials from 1 session (data from Figure 6A). The step model 1689 predicts X-ing time vs. first-lick time does not change across threshold levels, whereas ramp model 1690 predicts the slope of this relationship increases as threshold is raised. Single-trial GCaMP6f data exhibits 1691 increasing X-ing time vs. first-lick time slope with increasing threshold level, consistent with the ramp model but inconsistent with the step model. (C). X-ing time vs. first-lick time across all mice. Left 1692 1693 column: frequency of slope relationship across sessions, right column: variance explained. Source data: 1694 Figure 6—source data.



Figure 6—figure supplement 4. Assessing single-trial dynamics. (A) Single-trial signals aligned to discrete step position as found by Bayesian step model do not exhibit discrete step dynamics. To best estimate step times, the two animals with the highest GCaMP6f S:N were examined (Mouse B5 and B6). Left: 1 session, Right: average of signals from both mice. (B) Variance of GCaMP6f signals across trials. Step times were computed by Bayesian step model. An ideal step model predicts maximal variance at the 50th percentile step, but variance declined monotonically on average. Grey lines: single sessions; black line: average. For detailed explanation, see *Methods: Single-trial variance analysis for discrete step dynamics*. Source data: *Figure 6—source data*.



Figure 7—figure supplement 1. Variations on measurements of optogenetic effects. (A) Strategy for
optogenetic targeting of DANs. (B) Comparison of four complementary metrics for addressing
optogenetic effects. Left: unsigned Kolmogorov-Smirnov Distance (KS-D) analysis of differences in firstlick time distribution. Center: signed, bootstrapped comparison of difference in area under the cdf curves
(dAUC). Right: mean and median bootstrapped difference in first-lick time. Source data: *Figure 7*— *source data*.





1712 Figure 7—figure supplement 2. Light-power calibration for optogenetic activation of DANs. In preliminary 1713 experiments, DLS dopamine levels were monitored during the self-timed movement task, in which SNc DANs 1714 were activated randomly on 30% of interleaved trials. Dashed vertical lines: first-lick time. Left: interleaved, 1715 unstimulated trials (2 mice, 8 sessions). Middle: stimulated trials at the range of light levels used in the 1716 activation experiments show slightly elevated DLS dopamine signals compared to interleaved, unstimulated 1717 trials. First-lick timing was generally early-shifted in these sessions. Right: in a subset of preliminary 1718 calibration sessions, stimulation light levels were increased to the point where rapid, nonpurposive limb/trunk 1719 movements were observed throughout stimulation (1 mouse, 3 sessions). DLS dopamine signals show much 1720 higher, sustained increases throughout stimulation. Ongoing body movements disrupted task participation. 1721 Source data: Figure 7—source data.



1725 Figure 7—figure supplement 3. Quantification of optogenetic effects with additional metrics. (A) KS-D 1726 analysis: all sessions. "A": activation sessions; "NO": no opsin sessions; "I": inhibition sessions. Filled 1727 circles indicate significant difference between stimulated/unstimulated trials on single session (p<0.025, 1728 2-sided, 2-sample KS test). Standard box plot, line: median, box: upper/lower quartiles; whiskers: 1.5x 1729 IQR. (B) Left: bootstrapped dAUC Assay: all sessions, standard box plot as in (A). Filled circles: 1730 significant difference on single session (p<0.025, 2-sided bootstrapped dAUC test, see *Methods*). Right: 1731 comparison of dAUC in first-lick distributions across all sessions between groups. Error bars denote 1732 bootstrapped 95% confidence interval (*: p<0.05). (C) Mean bootstrapped difference in first-lick time, 1733 stimulated-minus-unstimulated trials, standard box plot as in (A). Left: single mice; Middle: single 1734 sessions. Right: Comparison of mean difference in first-lick time across all sessions. Error bars denote 1735 bootstrapped 95% confidence interval (*: p< 0.05). Source data: Figure 7-source data.



1737 Figure 7—figure supplement 4. Optogenetic DAN stimulation does not cause or prevent licking. (A,B) 1738 Stimulation-aligned lick-rate during control sessions. Animals were tested in 1-3 control sessions both 1739 before exposure to the self-timed movement task (red) and in 1-2 control sessions after the end of 1740 behavioral training (navy). Blue bar indicates stimulation period (3 s). Left: one session, Right: all 1741 sessions. (A) Activation control sessions (no cues or rewards). Animals were head-fixed on the behavioral 1742 platform and stimulated randomly at the same pace as the standard 3.3 s self-timed movement task. 1743 Activation did not elicit immediate licking in any session. (B) Inhibition-control sessions (no cues, + 1744 random rewards). Animals were head-fixed on the behavioral platform while receiving juice rewards at 1745 random times. Inhibition did not prevent licking in any session. Source data: Figure 7-source data.



1748 Figure 8—figure supplement 1. Probabilistic movement time decoding model: model selection. (A) Model schematic. To assess previous trial history on the same footing as dopaminergic signals, time t 1749 during model selection was limited to a 500 ms "time-slice," with each time-slice fit separately by the 1750 1751 model. Dopaminergic signals were averaged within each time-slice, such that each trial provided one and 1752 only one dopaminergic measurement, one set of trial history terms, and one movement state per time slice 1753 (see Methods: Single-trial probabilistic movement state decoding model, model selection). (B) Model fit weights. Model ID: corresponds to the predictors included from the schematic. x-axis labels: the predictor 1754 ID from the schematic. Predictor weights averaged across time-slices. (C) Model selection criteria. The 1755 model omitting the previous trial history predictors (predictors #1-4) was consistently the best model as 1756 1757 selected by BIC, AIC and AICc (results similar across metrics, BIC shown alone for clarity). Source data: 1758 Figure 8—source data.



Figure 8—figure supplement 2. Average Intertrial Interval (ITI) GCaMP6f signals aligned to most
 recent previous lick-time. Signals plotted up to onset of next spontaneous, self-initiated lick during the ITI.

1764 (1 mouse, 5 sessions, truncated 150 ms before lick). Source data: Figure 8—source data.

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